

# Medi-Cal Acuity Study Seniors and Persons with Disabilities (SPD)

#### Introduction

The California HealthCare Foundation (CHCF) has contracted with Mercer Government Human Services Consulting (Mercer), to provide technical assistance in support of the Department of Health Care Services' (DHCS) 1115 Waiver Stakeholder Process. At the request of CHCF and DHCS Mercer conducted a risk analysis comparing certain groups of the Medi-Cal Fee-for-Service (FFS) and Managed Care (MC) populations.

The specific objective of this study is to understand whether the populations of seniors and persons with disabilities (SPD) without Medicare coverage currently served by local initiative and commercial Medi-Cal MC plans in the Two-Plan model counties differs from the Medi-Cal SPD population in the FFS program and, if so, to understand these differences.

# Methodology

Mercer utilized a risk adjustment model to evaluate the SPD members' health acuity for FFS and MC members enrolled in the Medi-Cal program. This study was limited to only members residing within the Two-Plan model counties, using data from January 1, 2008, through December 31, 2008 (CY08). Within the risk adjustment model, the data were used in conjunction with member demographics (age/gender categories) to measure both the FFS and MC plans' health risk using "risk scores". Risk scores are relative values that indicate population health acuity. This is more fully discussed later in this section.

The pharmacy data were determined to be the most accurate and complete source of claims-level information for the Medi-Cal Managed Care program. As such, the Medicaid Rx model was used to evaluate the SPD FFS and MC members' health acuity within this study. The Medicaid Rx model may assign each member to one or more of 45 medical condition categories based on the prescription drugs used by each member and to one of 11 age/gender categories. Background on the Medicaid Rx model and a listing of the 45 disease conditions and age/gender categories can be found within Appendix A of this document.

The cornerstone of any risk-adjustment process is the data used to identify member demographics and to classify members into disease conditions. Consistent with the Medicaid Rx model development, twelve months of data were used to measure the anticipated health risk for each recipient. This twelve-month time period is referred to as the study period. As previously mentioned, claims incurred during CY08 with process dates

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through November 2009 were used in the study. With eleven months of data lag, the claims/encounters were deemed to be complete. Although the health plans are not at risk for claims paid by FFS (and the risk adjustment model was adjusted accordingly) for health plan enrolled members, pharmacy claims paid through the FFS program were also included in the analysis for disease condition flagging purposes. This approach also insures equal treatment for both FFS and MC members (i.e., consideration of their total Medi-Cal coverage).

Using the data in the study period, each recipient was processed through the Medicaid Rx model. This step resulted in recipients being assigned to demographic categories and flagged for disease conditions. For demographic classifications each recipient's age was calculated on December 31, 2008, the end of the study period. This information was then combined with the cost weight associated with each of the applicable Medicaid Rx model categories (see Appendix B for the cost weights applied for each disease condition). The combination of the Medicaid Rx categories and the appropriate cost weights produced an individual acuity factor and risk profile for each recipient. Acuity factors were only developed for recipients with at least six months of Medi-Cal eligibility within the twelve-month study period. The six month requirement was used to reduce any underreporting for individuals that were newly eligible to the program. Medi-Cal eligibility included FFS and MC enrollment segments. The six-month scoring criteria did not require continuous eligibility. Members that did not have six months of eligibility within CY08 were excluded from this study.

Acuity factors were derived for each of the applicable members. Using these individual factors, aggregated FFS and MC plan risk scores were developed. Aggregated risk scores were calculated using a straight average of the acuity factors assigned to members enrolled within FFS and each MC plan as of December 31, 2008. These straight average population values produced using Medicaid Rx are referred to as unadjusted risk scores (i.e., "raw risk scores").

The unadjusted values were then normalized in order for the risk scores to be compared on a relative basis for each county and for ease of explanation. After the normalization process, a value of 1.000 would represent the average for each county. Each normalized program risk score could then be evaluated in comparison to the 1.000 average. For example, a population with an average risk score of 1.200 would represent a population that has projected costs of 1.2 times the overall county average.

# **Study Analysis**

The following sections summarize the various components of the study Mercer performed and conclusions for the overall SPD risk assessment. It is important to note that data anomalies can have an impact on the study results. To the extent that the FFS or MC data were not accurate and/or complete, the conclusions drawn from this study may be different than reported herein. Mercer has performed high level data validation to ensure that NDCs were accurate and that claims/encounter volume looked reasonable. Mercer did not formally audit the pharmacy data.

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#### FFS and MC Plan Risk Scores

On a county by county basis, neither the FFS nor the MC program seemed to have a consistently lower or higher risk pattern. In fact, the risk scores indicated that the MC enrollees had higher health acuity (sicker members) in 6 of the 12 Two-Plan counties, while having lower health acuity (healthier members) in the remaining 6 counties. When looking separately at the MC health plan's (two per county) and FFS totals for each county, the top 7 out of 36 overall highest acuity Two-Plan model SPD population groups are enrolled in commercial MC health plans. Across all counties, the FFS program showed a moderately higher risk score. However, by removing Los Angeles County, the overall risk score relationship is reversed, and shows the MC program population as having a moderately higher risk score.

Mercer also evaluated the differences in the local initiative and commercial MC plans' risk scores. Similar to the comparisons of the overall FFS and MC programs, there was not a consistently higher or lower risk score differential amongst the health plans. In some counties, the local initiatives served members with higher average acuity and in other counties commercial health plans were shown to serve higher acuity members. It appears from the analysis that the local initiative and commercial health plans as a whole did not enroll members with significantly different risk characteristics and were combined together for the MC program results referenced throughout this study.

Table 1.0 summarizes the county by county enrollment and risk score information that was evaluated. For the rest of this study, Mercer focused on looking into the key drivers of the two populations' overall risk by using disease prevalence reports and other information derived from the risk assessment of SPD members.

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Table 1.0 Summary of FFS and MC Enrollment and Risk Scores

| County         | System          | Enrollment | Distribution | Unadjusted<br>Risk Score | Normalized<br>Risk Score | % FFS<br>over/under<br>MC |
|----------------|-----------------|------------|--------------|--------------------------|--------------------------|---------------------------|
|                | Managed Care    | 8,670      | 27.9%        | 1.161                    | 1.032                    |                           |
| Alameda        | Fee-for-Service | 22,356     | 72.1%        | 1.111                    | 0.988                    |                           |
|                | Total           | 31,026     | 100.0%       | 1.125                    | 1.000                    | -4.3%                     |
|                | Managed Care    | 4,359      | 27.7%        | 1.148                    | 1.029                    |                           |
| Contra Costa   | Fee-for-Service | 11,366     | 72.3%        | 1.104                    | 0.989                    |                           |
|                | Total           | 15,725     | 100.0%       | 1.116                    | 1.000                    | -3.9%                     |
|                | Managed Care    | 8,060      | 34.8%        | 1.435                    | 1.079                    |                           |
| Fresno         | Fee-for-Service | 15,089     | 65.2%        | 1.274                    | 0.958                    |                           |
|                | Total           | 23,149     | 100.0%       | 1.330                    | 1.000                    | -11.2%                    |
|                | Managed Care    | 6,524      | 33.8%        | 1.236                    | 0.997                    |                           |
| Kern           | Fee-for-Service | 12,782     | 66.2%        | 1.242                    | 1.001                    |                           |
|                | Total           | 19,306     | 100.0%       | 1.240                    | 1.000                    | 0.4%                      |
|                | Managed Care    | 42,788     | 20.7%        | 1.131                    | 0.894                    |                           |
| Los Angeles    | Fee-for-Service | 163,531    | 79.3%        | 1.300                    | 1.028                    |                           |
| _              | Total           | 206,319    | 100.0%       | 1.265                    | 1.000                    | 15.0%                     |
|                | Managed Care    | 7,309      | 24.6%        | 1.179                    | 0.940                    |                           |
| Riverside      | Fee-for-Service | 22,393     | 75.4%        | 1.280                    | 1.020                    |                           |
|                | Total           | 29,702     | 100.0%       | 1.255                    | 1.000                    | 8.5%                      |
|                | Managed Care    | 8,697      | 22.0%        | 1.182                    | 0.934                    |                           |
| San Bernardino | Fee-for-Service | 30,782     | 78.0%        | 1.289                    | 1.019                    |                           |
|                | Total           | 39,479     | 100.0%       | 1.265                    | 1.000                    | 9.0%                      |
|                | Managed Care    | 2,662      | 13.9%        | 1.120                    | 0.948                    |                           |
| San Francisco  | Fee-for-Service | 16,442     | 86.1%        | 1.191                    | 1.008                    |                           |
|                | Total           | 19,104     | 100.0%       | 1.181                    | 1.000                    | 6.4%                      |
|                | Managed Care    | 5,455      | 30.5%        | 1.145                    | 0.954                    |                           |
| San Joaquin    | Fee-for-Service | 12,412     | 69.5%        | 1.225                    | 1.020                    |                           |
|                | Total           | 17,867     | 100.0%       | 1.201                    | 1.000                    | 7.0%                      |
|                | Managed Care    | 5,826      | 25.1%        | 1.200                    | 1.019                    |                           |
| Santa Clara    | Fee-for-Service | 17,419     | 74.9%        | 1.170                    | 0.994                    |                           |
|                | Total           | 23,245     | 100.0%       | 1.178                    | 1.000                    | -2.5%                     |
|                | Managed Care    | 3,132      | 26.0%        | 1.412                    | 1.056                    |                           |
| Stanislaus     | Fee-for-Service | 8,920      | 74.0%        | 1.311                    | 0.980                    |                           |
|                | Total           | 12,052     | 100.0%       | 1.337                    | 1.000                    | -7.1%                     |
|                | Managed Care    | 3,351      | 32.9%        | 1.464                    | 1.055                    |                           |
| Tulare         | Fee-for-Service | 6,820      | 67.1%        | 1.350                    | 0.973                    |                           |
|                | Total           | 10,171     | 100.0%       | 1.388                    | 1.000                    | -7.8%                     |

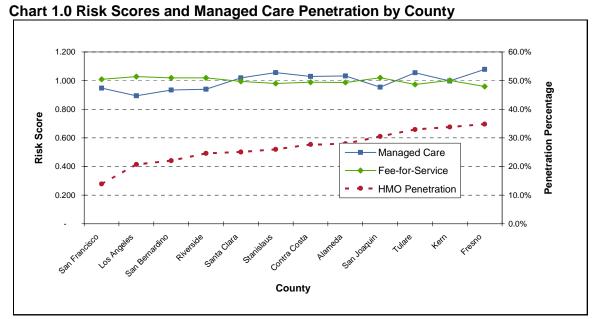
NOTE: Member counts represent only those members with six months of eligibility within CY08.

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# **Managed Care Penetration**

The implementation of a voluntary managed care enrollment policy can have an effect on the health risk assumed by the FFS and MC programs. The typical assumption is that members that enroll through auto-assignment are healthier on average than members that initiate some form of choice when being placed in their respective program. Because of the voluntary enrollment situation, most, if not all SPD members in the Two-Plan model counties are making a choice to join. In that regard, it isn't surprising to find out that the MC population risk for these counties is similar to the FFS population risk.

For all of the Two-Plan counties, the majority of SPD members are enrolled in FFS. Mercer analyzed the risk scores by county to determine if the managed care penetration rate had any correlation to the differences in health risk assumed by the two different programs. Chart 1.0 shows the risk scores and penetration rate for all the Two-Plan model counties in order of managed care penetration percentages (lowest to highest).



NOTE: Two-Plan model counties are listed in order of managed care penetration (lowest to highest).

Overall, there appears to be a slight upward trend of risk score for MC and a slight downward trend for FFS risk score as the managed care penetration percentages increase. However, there does not seem to be any overwhelming evidence that penetration rates alone account for differences seen in MC versus FFS risk scores. One conclusion that could be drawn from this analysis is that there are still relatively large groups of healthier than county-average members that continue to reside in the FFS program.

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# **Member Demographics**

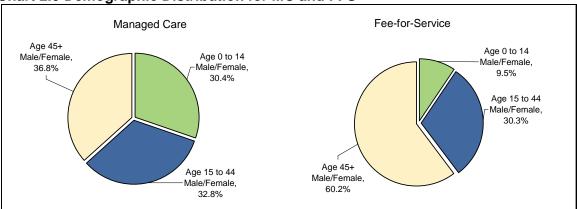
As mentioned previously, the Medicaid Rx model places members into 11 age/gender categories. The disease conditions have a much higher weighting within the Medicaid Rx model since member demographics are less predictive of future cost. However, looking at demographic distributions can help interpret overall risk scores since inherently, some age/gender bands will have a higher proportion of certain disease conditions. For example, while the demographic factors alone may not differentiate much amongst age/gender groups, once you combine the disease information you might expect more Cardiac conditions amongst an aging population and more Asthma/COPD within a younger population group. Hence, an older population may have a higher acuity than a younger population because they will receive the greater weighting for things such as cardiac conditions. Understanding the demographic mix of a certain population can further help to explain differences in overall disease prevalence and risk scores. Table 2.0 summarizes the member distribution for FFS and MC using the Medicaid Rx age/gender categories.

Table 2.0 Medicaid Rx Age/Gender Distributions

| Age/Gender Categories   | Managed Care | Fee-for-Service | Total  |
|-------------------------|--------------|-----------------|--------|
| Under Age 1             | 0.4%         | 0.2%            | 0.2%   |
| Age 1 to 4              | 6.2%         | 1.7%            | 2.8%   |
| Age 5 to 14 Male        | 16.3%        | 5.0%            | 7.7%   |
| Age 5 to 14 Female      | 7.4%         | 2.7%            | 3.8%   |
| Age 14 to 24 Male       | 10.6%        | 7.0%            | 7.8%   |
| Age 14 to 24 Female     | 6.8%         | 4.3%            | 4.9%   |
| Age 25 to 44 Male       | 4.8%         | 10.6%           | 9.2%   |
| Age 25 to 44 Female     | 10.7%        | 8.4%            | 8.9%   |
| Age 45 to 64 Male       | 8.6%         | 20.3%           | 17.5%  |
| Age 45 to 64 Female     | 21.3%        | 24.4%           | 23.7%  |
| Age 65+ Male and Female | 6.8%         | 15.6%           | 13.5%  |
| Total                   | 100.0%       | 100.0%          | 100.0% |

Mercer combined the above populations into further subgroups to better understand the mix of members within the FFS and MC programs. The results indicated that a significantly higher proportion of age 45+ individuals reside in FFS. Conversely, a higher proportion of age 0 to 14 Male/Female members reside in managed care. These differences in demographic mix are consistent with the disease prevalence results and show that demographics may be a driver in the moderate differences in overall risk scores between the MC and FFS programs. Chart 2.0 on the following page shows the member distributions by the three major sub-groups that were evaluated.

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### Chart 2.0 Demographic Distribution for MC and FFS

# **Disease Prevalence and Impact Rank**

As mentioned previously, the Medicaid Rx model identifies 45 specific disease conditions to create individual acuity factors and member level risk profiles. The member level risk profile lists all of the disease conditions flagged for particular member and can be used to derive the overall population's disease prevalence (i.e., number of individuals within the study group with a certain condition). More information on disease prevalence reports can be found in Appendix C.

Mercer identified the 10 highest impact (observations × expected cost) disease conditions for the entire population, and then summarized for the FFS and MC members. Overall, it would appear that the FFS and MC programs serve members with similar disease conditions, with FFS having moderately higher prevalence rates in many cases. There does not appear to be significantly different types of conditions found in either program. Table 3.0 lists the 10 highest impact conditions for both FFS and MC. Notice that 9 of the top 10 conditions are common between the two populations. That is to say, 9 of the top 10 conditions are in the top 10 for both the MC and the FFS populations.

**Table 3.0 10 Highest Impact Conditions** 

| Rank | Managed Care                 | Fee-for-Service              |
|------|------------------------------|------------------------------|
| 1    | Seizure Disorders            | Cardiac                      |
| 2    | Cardiac                      | Seizure Disorders            |
| 3    | Asthma/COPD                  | Gastric Acid Disorder        |
| 4    | Multiple Sclerosis/Paralysis | ESRD/Renal                   |
| 5    | Gastric Acid Disorder        | Diabetes                     |
| 6    | Infections, Medium           | Infections, Medium           |
| 7    | Diabetes                     | Asthma/COPD                  |
| 8    | ESRD/Renal                   | Pain                         |
| 9    | Pain                         | Anti-coagulants              |
| 10   | Inflammatory/Autoimmune      | Multiple Sclerosis/Paralysis |

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Chart 3.0 below displays the prevalence rates for the 10 highest impact disease conditions for both programs combined, in order of FFS disease prevalence. The prevalence rates for all 45 disease conditions for both programs can be found in Appendix C. For many of the highest impact disease conditions, there was a moderately higher proportion of disease prevalence within the FFS program. However, in some instances such as Asthma/COPD, there was a higher incidence rate within the MC program.

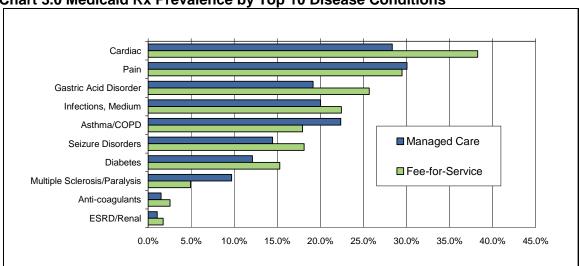


Chart 3.0 Medicaid Rx Prevalence by Top 10 Disease Conditions

NOTE: Disease conditions are ordered from top to bottom by order of FFS disease prevalence. Conditions represent the top 10 conditions found for both FFS and MC across all Two-Plan counties.

#### **Risk Distribution**

Especially for aged and/or disabled groups, there can be a wide distribution of member acuity factors across populations that may not be readily observed from overall average program risk scores. For example, one group could have a range of individual acuity factors from 0.880 to 2.100 and another program could have factors ranging from 0.550 to 8.000. When all members are aggregated, these two programs could have similar average population risk scores, but very different conditions on the high and low ends of the spectrum. Mercer analyzed the distribution of individual acuity factors observed in the FFS and MC populations to see if there were any major differences in risk distribution between the two programs. As shown in Chart 4.0 on the following page, the distributions of individual acuity factors were very comparable between FFS and MC populations.

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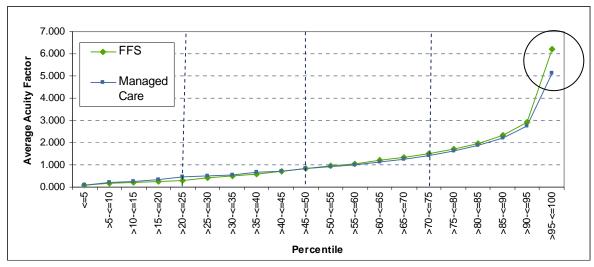


Chart 4.0 above was developed by ranking each member within each population from top to bottom using individual Medicaid Rx computed acuity factors. FFS and MC members were then grouped into 5 percentile averages for comparison purposes. While the FFS members have higher average acuity factors in many instances, overall, the distribution is relatively consistent between the two population groups. The one single instance where FFS had significantly higher average risk scores was for individuals in the top 5 percentile group. In this percentile group FFS members had an average unadjusted individual acuity factor of 6.206 while managed care members had an average factor of 5.115.

To further investigate the risk characteristics of members with the highest scores in each group, Mercer researched the disease prevalence to see if there were particular disease categories driving the difference in overall risk. For the most part, the same types of conditions that were driving the overall program risk scores were apparent within the top 5% group (see Chart 4.1 on the following page). However, the major difference between the 5% highest acuity group was the prevalence rate associated with each of the disease condition drivers. For example, the overall disease prevalence for Cardiac conditions found in the total population for both programs was roughly 28 – 38%. For the top 5% highest acuity group, the disease prevalence for the same cardiac conditions was between roughly 73 – 79%. Although some differences did exist that may have accounted for some of the difference in overall risk scores, the types of conditions driving the acuity was fairly consistent between the FFS and MC groups.

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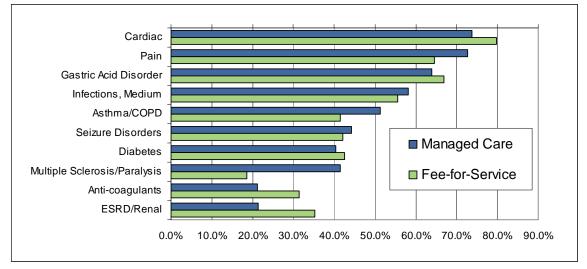


Chart 4.1 Disease Prevalence for Top 5% Individual Acuity

Mercer also analyzed the average number of co-morbidities for the top 5% members to see if there were any significant differences between FFS and MC members. The average number of co-morbidities was determined by summing each individual's disease condition flags and then calculating an average for all individuals enrolled in each program. The results were very consistent amongst each group. The FFS members had 9.16 and the MC members had 9.20 average disease condition flags. The disease prevalence and average number of disease conditions for both FFS and MC recipients in the top 5% gave no indication that these members had significantly different risk characteristics between the two programs.

#### Risk Scores versus Actual Cost

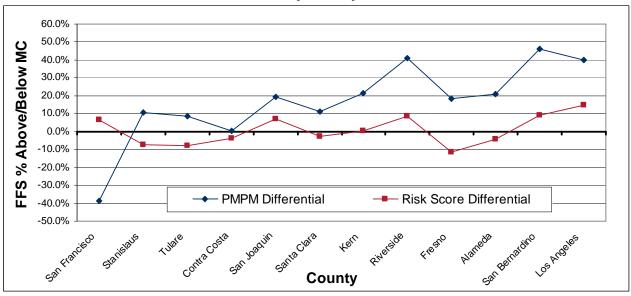
Mercer evaluated the risk score factors calculated for the FFS and MC programs in conjunction with the actual PMPM costs reported for CY08. Mercer utilized paid claims for services reimbursed by the State on a FFS basis (for both FFS and MC plans) and rate development templates (RDT) to capture managed care costs that are reimbursed through capitated premiums paid by the State. The RDTs contain health plan reported medical and pharmacy expense information used by Mercer as one of the data sources to develop the Medi-Cal managed care capitation rates. As shown in Table 4.0 and Chart 5.0, as the differential in risk scores increase/decrease, there is a coinciding increase/decrease to the overall PMPM differential costs by county. The chart also shows fairly consistent discrepancies between the differences in overall calculated acuity and actual dollars spent on a county by county basis. These discrepancies highlight the cost impact that managed care may have in controlling unit cost and utilization of medical/pharmacy services.

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Table 4.0 Risk Score vs. Cost Differential by County

|                | Managed            | PI              | MPM Different | tial                      | Risk Score Differential |       |                           |
|----------------|--------------------|-----------------|---------------|---------------------------|-------------------------|-------|---------------------------|
| County         | Care<br>Enrollment | Managed<br>Care | FFS           | % FFS<br>over/under<br>MC | Managed<br>Care         | FFS   | % FFS<br>over/under<br>MC |
| San Francisco  | 2,674              | 1.502           | 0.924         | -38.5%                    | 0.948                   | 1.008 | 6.4%                      |
| Stanislaus     | 3,160              | 0.926           | 1.025         | 10.6%                     | 1.056                   | 0.981 | -7.2%                     |
| Tulare         | 3,379              | 0.946           | 1.025         | 8.4%                      | 1.056                   | 0.973 | -7.8%                     |
| Contra Costa   | 4,383              | 0.997           | 1.001         | 0.4%                      | 1.029                   | 0.989 | -3.9%                     |
| San Joaquin    | 5,478              | 0.880           | 1.050         | 19.3%                     | 0.953                   | 1.020 | 7.0%                      |
| Santa Clara    | 5,942              | 0.921           | 1.024         | 11.2%                     | 1.019                   | 0.994 | -2.5%                     |
| Kern           | 6,558              | 0.873           | 1.061         | 21.5%                     | 0.997                   | 1.001 | 0.4%                      |
| Riverside      | 7,366              | 0.760           | 1.071         | 41.0%                     | 0.939                   | 1.019 | 8.5%                      |
| Fresno         | 8,099              | 0.892           | 1.055         | 18.3%                     | 1.080                   | 0.959 | -11.2%                    |
| Alameda        | 8,754              | 0.867           | 1.048         | 20.9%                     | 1.033                   | 0.988 | -4.3%                     |
| San Bernardino | 8,758              | 0.732           | 1.071         | 46.2%                     | 0.934                   | 1.018 | 9.0%                      |
| Los Angeles    | 43,050             | 0.758           | 1.062         | 40.2%                     | 0.893                   | 1.027 | 15.0%                     |

Chart 5.0 Risk Score vs. Cost Differential by County



NOTE: Counties are shown in order of managed care enrollment (lowest to highest). Counties on the left of the graph are more likely to display volatility associated with small plan enrollment. Also note that the PMPMs used in the above analysis are net of Rx rebates and do not include LTC services.

# **Conclusions**

Through the use of Medicaid Rx in evaluating the FFS and MC SPD populations within the Two-Plan counties, both populations appear to be serving members with similar disease conditions and general health acuity. The following are the highlights from the study:

 On a county by county basis, MC members have a higher disease burden in half of the Two-Plan counties, indicating that FFS is not universally serving members with a higher disease burden. MC penetration rates did not appear to be a strong indicator of MC or FFS population health acuity.

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Overall, members in the FFS program appear to have a moderately higher disease burden than the MC members. Both the FFS and MC programs have very similar disease conditions for their respective populations that are driving the overall health acuity within each program. It is important to note the overall population acuity relationship is reversed, meaning the MC program has overall higher health acuity, with the removal of Los Angeles County.

- The risk distribution (i.e., spread in individual acuity factors) was also very similar across both the FFS and MC programs. Members with the top 5% highest acuity (i.e., very sickest) had similar disease conditions and disease prevalence within both programs.
- The FFS program has a larger proportion of aged members (age >45) while the MC program has a larger proportion of younger members (age 0 to 14). The difference in member demographics between the two programs may be a contributor to the moderately higher overall disease burden observed in the FFS program.
- The actual cost reported for each program follow a similar pattern as the risk scores developed using Medicaid Rx. However, the large differential between Medicaid Rx results and actual costs indicates that there may be managed care savings opportunities within the Two-Plan model counties.

In preparing this study of the SPD populations, Mercer has used and relied upon enrollment, eligibility, claims, encounters, reimbursement level, benefit design and other information supplied by the State and its contracting health plans, who are responsible for its validity and completeness. We have reviewed the data and information for internal consistency and reasonableness, but we did not perform a formal audit. In our opinion the data are appropriate for the intended purpose.

The resulting risk scores are estimations of relative risk, and actual relative risk will likely differ from that projected. Mercer has provided this information to CHCF and DHCS to assist them in evaluating the relative risk and underlying risk characteristics of the Two-Plan model SPD populations. Use of the risk-adjustment results for any purpose beyond that stated use may not be appropriate, and Mercer expressly disclaims any responsibility for any such use.

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#### **Appendix A**

# **Medicaid Rx Background**

Medicaid Rx is a disease classification system developed by researchers from the University of California, San Diego (UCSD). This model uses pharmacy data to classify individuals into disease conditions. While many risk-adjustment models exist, the Medicaid Rx model was specifically designed for Medicaid programs such as Medi-Cal. Further, Medicaid Rx has a specific model that was developed to evaluate the risk for aged and disabled individuals.

The initial framework of the Medicaid Rx model was created specifically for the Medicaid population and is an update and expansion to the Chronic Disease Score model developed by the Group Health Cooperative of Puget Sound. Medicaid Rx was originally released in 2000 using data from California, Colorado, Georgia and Tennessee. The underlying data have been updated on two occasions since the model's inception and most recently uses FFS data from over 30 state Medicaid programs. The Medicaid Rx model framework was updated in 2008 by UCSD to account for changes in medical practice patterns over time and new pharmaceuticals that may have been introduced to the market place. The Medicaid Rx model is available to the public and can be downloaded at <a href="http://medicaidrx.ucsd.edu/">http://medicaidrx.ucsd.edu/</a>.

# California-Specific Medicaid Rx Model

In the process of risk adjusting the Contract Year 2009/2010 Medi-Cal capitation payments, the State and Mercer worked with the model developers at UCSD to develop a California-specific version of the Medicaid Rx model. While the framework utilized to classify pharmacy claims into disease categories remains unchanged, the weights within the model were adjusted to match the covered benefits within the Medi-Cal managed care program. Mercer used the California-specific model throughout this study in order to interpret the results in the context of SPD individuals within a managed care environment that was specific to the Medi-Cal program (rather than using the generic model weights built from national data).

The Medicaid Rx model offers two methods for assessing health risk. The first approach is referred to as the "prospective method," which measures existing conditions and their ability to predict future health care costs. The second approach is referred to as the "concurrent method," which measures existing conditions and their ability to measure existing risk. Since the California-specific model was developed on a prospective basis, the prospective approach was also used for this study. The national concurrent model was also applied for reasonableness and yielded consistent results when compared with the California-specific model results.

A cost weight is the value relative to the average (1.0) assigned to each disease condition within the Medicaid Rx model. Disease conditions that require more medical resources will have a higher cost weight assigned. The California-specific cost weights can be found in Appendix B.

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# Appendix B – Two-Plan Prospective Weights California-Specific Model

| Medicaid Rx Code | Medicaid Rx Description                            | Aged and Disabled<br>Medi-Cal Only |  |  |
|------------------|--|------------------------------------|--|--|
| a_under1         | age<=1   | 1.605                              |  |  |
| a_1_4            | 1 <age<5< td=""><td>0.921</td></age<5<>            | 0.921                              |  |  |
| a_5_14m          | 5 <age<15 male<="" td=""><td>0.496</td></age<15>   | 0.496                              |  |  |
| a_5_14f          | 5 <age<15 female<="" td=""><td>0.706</td></age<15> | 0.706                              |  |  |
| a_15_24m         | 15<=age<25 male                                    | 0.232                              |  |  |
| a_15_24f         | 15<=age<25 female                                  | 0.261                              |  |  |
| a_25_44m         | 25<=age<45 male                                    | 0.213                              |  |  |
| a_25_44f         | 25<=age<45 female                                  | 0.066                              |  |  |
| a_45_64m         | 45<=age<65 male                                    | 0.269                              |  |  |
| a_45_64f         | 45<=age<65 female                                  | 0.079                              |  |  |
| a_65             | 65<=age  | 0.164                              |  |  |
| MRX1             | Alcoholism   | 0.189                              |  |  |
| MRX2             | Alzheimers   | N/A                                |  |  |
| MRX3             | Anti-coagulants                                    | 1.885                              |  |  |
| MRX4             | Asthma/COPD  | 0.376                              |  |  |
| MRX5             | Attention Deficit                                  | N/A                                |  |  |
| MRX6             | Burns  | 0.170                              |  |  |
| MRX7             | Cardiac  | 0.170                              |  |  |
|                  |  |                                    |  |  |
| MRX8             | Cystic Fibrosis                                    | 0.773                              |  |  |
| MRX9             | Depression / Anxiety                               | 0.091                              |  |  |
| MRX10            | Diabetes   | 0.477                              |  |  |
| MRX11            | EENT   | N/A                                |  |  |
| MRX12            | ESRD / Renal                                       | 5.296                              |  |  |
| MRX13            | Folate Deficiency                                  | 0.726                              |  |  |
| MRX14            | CMV Retinitis                                      | 0.992                              |  |  |
| MRX15            | Gastric Acid Disorder                              | 0.367                              |  |  |
| MRX16            | Glaucoma   | 0.239                              |  |  |
| MRX17            | Gout   | N/A                                |  |  |
| MRX18            | Growth Hormone                                     | 2.921                              |  |  |
| MRX19            | Hemophilia/von Willebrands                         | 26.452                             |  |  |
| MRX20            | Hepatitis  | 0.990                              |  |  |
| MRX21            | Herpes   | 0.146                              |  |  |
| MRX22            | HIV  | N/A                                |  |  |
| MRX23            | Hyperlipidemia                                     | N/A                                |  |  |
| MRX24            | Infections, high                                   | 6.478                              |  |  |
| MRX25            | Infections, medium                                 | 0.322                              |  |  |
| MRX26            | Infections, low                                    | N/A                                |  |  |
| MRX27            | Inflammatory /Autoimmune                           | 0.375                              |  |  |
| MRX28            | Insomnia   | 0.223                              |  |  |
| MRX29            | Iron Deficiency                                    | 0.281                              |  |  |
| MRX30            | Irrigating solution                                | 2.515                              |  |  |
| MRX31            | Liver Disease                                      | 1.670                              |  |  |
| MRX32            | Malignancies                                       | 1.079                              |  |  |
|                  | Multiple Sclerosis / Paralysis                     | 0.763                              |  |  |
| MRX33            |  |                                    |  |  |
| MRX34            | Nausea   | 0.137                              |  |  |
| MRX35            | Neurogenic bladder                                 | 0.446                              |  |  |
| MRX36            | Osteoporosis / Pagets                              | N/A                                |  |  |
| MRX37            | Pain   | 0.167                              |  |  |
| MRX38            | Parkinsons / Tremor                                | 0.191                              |  |  |
| MRX39            | Prenatal care                                      | N/A                                |  |  |
| MRX40            | Psychotic Illness / Bipolar                        | N/A                                |  |  |
| MRX41            | Replacement solution                               | 0.311                              |  |  |
| MRX42            | Seizure disorders                                  | 0.600                              |  |  |
| MRX43            | Thyroid Disorder                                   | 0.126                              |  |  |
| MRX44            | Transplant   | N/A                                |  |  |
| MRX45            | Tuberculosis                                       | 0.565                              |  |  |

NOTE: Disease categories with "N/A" values represent conditions that were found to be statistically insignificant or services that are non-covered benefits in the managed care program.

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# Appendix C – Disease Prevalence Reports Background

Recognizing that the Medicaid Rx model has many components used to assess an individual's health risk and calculate overall FFS and MC risk scores, Mercer has provided information on each county's population and risk score calculation referred to as a prevalence report. Within the prevalence report, the following information can be found for each health plan and FFS SPD population within the Two-Plan counties.

- California-specific Medicaid Rx cost weights
- Count of scored members falling into each demographic category (11 categories)
- Disease Category Prevalence (45 categories)
- Count of all "scored" members (6+ months of eligibility in CY08)
- Calculation of unadjusted and normalized risk scores

# Medicaid Rx Category Distribution

Within the prevalence reports, the FFS and health plan populations are classified into a total of 56 categories based on the components described above. Especially for the disabled group, not all disease conditions are applicable due to low observations or low predictive value. The following table is a sample of the data contained in a County's prevalence report found in Appendix C.

**XYZ County – Two-Plan SPD Example** 

| <u> </u>         | 111011011012               | 213111p10              |                      |                                |
|------------------|----------------------------|------------------------|----------------------|--------------------------------|
|                  | (A)                        | (B)                    | (C)                  | (D)                            |
| Disease Category | Medicaid Rx<br>Cost Weight | Disease<br>Impact Rank | Number of Recipients | Percent of Total<br>Recipients |
|                  |                            |                        |                      |                                |
| Age Subtotal     | N/A                        | N/A                    | 1,750                | 100.00%                        |
|                  |                            |                        |                      |                                |
| Seizure Disorder | 0.600                      | 1                      | 360                  | 20.57%                         |
| Gastric Disorder | 0.367                      | 5                      | 350                  | 20.00%                         |
| Pain             | 0.167                      | 8                      | 750                  | 43.00%                         |
| Anti Coagulants  | 1.885                      | 9                      | 22                   | 1.26%                          |

The Medicaid Rx cost weights (Column A) were developed by UCSD and were adjusted to use Medi-Cal data and represent services covered under the managed care program. Using the sample data provided above, the Medicaid Rx Seizure Disorder category receives a cost weight (or relative health risk factor) of 0.600. This means that an individual who falls into the Seizure Disorder category is estimated to cost approximately 0.600 times the average cost of an SPD member within the Medi-Cal population used to derive the cost weights. However, this specific cost weight value does not represent an individual's total relative acuity factor. That is because it does not take into account any demographic or possible additional disease information this individual might have. Each scored individual receives a demographic relative cost weight and can have multiple disease categories assigned depending on that individual's health status. For each member, all of the disease category cost weights assigned are combined (added) along with their demographic information to calculate a total individual acuity factor.

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The prevalence reports also provide the recipient counts attributable to each disease condition. Column C shows that XYZ County had 360 recipients flagged with Seizure Disorder. To assess the portion of the XYZ population that presents with this condition, the 360 recipients flagged with Seizure Disorder are divided by the total XYZ scored members of 1,750. This calculation results in a value of 20.57 percent, which is provided in Column D.

### Disease Impact Rank

To better understand the significance of specific disease conditions in the risk adjustment process, the prevalence reports include the Disease Impact Rank (Column B) associated with each disease category. The magnitude of the Medicaid Rx category weight, in conjunction with the portion of the population flagged with the disease condition, will determine the impact that a particular disease category will have on the development of the overall plan factors (i.e., plan risk scores). The lower the disease impact rank value, the greater that category's impact is on the overall plan factor. For example, a value of one (1) equals the greatest disease condition impact on each population's overall risk score. Conversely, the larger the disease impact rank value, the less impact the disease condition is on the overall plan factor (45 equals the least possible impact). The Disease Impact Rank (Column B) is a quick resource for determining the disease category's impact on the population's overall health risk. In the case where several conditions aren't included in the analysis due to low observations or were not found to be statistically significant, the ranking may show the same impact rank for the conditions, and may be less than 45.

Using the sample in Table 1, the Seizure Disorder category has a disease impact rank of one. Even though this category has a significantly lower cost weight than the Anti-Coagulant category, 20.60 percent of the overall population has been classified in the Seizure Disorder category. Taking into consideration both cost weight and overall population prevalence, the Seizure Disorder category has the greatest influence on the overall risk adjustment results in this example.

#### Risk Adjustment Results

In the basic risk adjustment application scenario, the prevalence reports include the recipient counts associated with the MC health plans and FFS. The prevalence reports also include the unadjusted plan factor results, which can be reproduced within this report, by calculating a weighted average of the cost weights and the MC/FFS observation counts (weighted on total scored members). The prevalence reports also contain the normalized risk score calculated for the MC health plans and FFS. At the bottom of each prevalence report is a section that presents the components used to derive the unadjusted and normalized risk scores.

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# **XYZ Population**

| Risk adjustment Results           | Risk Score |
|-----------------------------------|------------|
| Recipients                        |            |
| Total Scored Recipients           | 1,750      |
| Plan Factor                       |            |
| Unadjusted Plan Factor            | 0.932      |
| County-Wide Unadjusted Risk Score | 0.913      |
| Normalized Risk Score             | 1.021      |

The total County-Wide Unadjusted Risk Score is derived by using a weighted average of the unadjusted or "raw" scores for the combined health plan and FFS programs within a county. Each program's scores are then normalized by dividing each MC/FFS score by the county-wide weighted average. The values presented in the Table above indicate that XYZ population (i.e., MC or FFS) has an approximately 2% higher anticipated health risk, as measured by Medicaid Rx, than the county average population, as indicated by the 1.021 normalized risk score.

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**Alameda County** 

| Alameda County                      |                |             |                     |                      |             |                     |                      |
|-------------------------------------|----------------|-------------|---------------------|----------------------|-------------|---------------------|----------------------|
|                                     | Disabled       | N           | lanaged Ca          |                      | Fe          | ee-for-Servi        |                      |
| Risk Categories                     | Weight         | Disease     | Scored<br>Recipient | Combined<br>Category | Disease     | Scored<br>Recipient | Combined<br>Category |
|                                     | Weight         | Impact Rank | Counts              | Distributions        | Impact Rank | Counts              | Distributions        |
| Demographic Categories              |                |             |                     |                      |             |                     |                      |
| Under Age 1                         | 1.605          | n/a         | 21                  | 0.2%                 | n/a         | 43                  | 0.2%                 |
| Age 1 to 4                          | 0.921          | n/a         | 327                 | 3.8%                 | n/a         | 247                 | 1.1%                 |
| Age 5 to 14 Male                    | 0.496          | n/a         | 1,047               | 12.1%                | n/a         | 693                 | 3.1%                 |
| Age 5 to 14 Female                  | 0.706          | n/a         | 443                 | 5.1%                 | n/a         | 346                 | 1.5%                 |
| Age 15 to 24 Male                   | 0.232          | n/a         | 856                 | 9.9%                 | n/a         | 1,350               | 6.0%                 |
| Age 15 to 24 Female                 | 0.261          | n/a         | 570                 | 6.6%                 | n/a         | 835                 | 3.7%                 |
| Age 25 to 44 Male                   | 0.213          | n/a         | 448                 | 5.2%                 | n/a         | 2,383               | 10.7%                |
| Age 25 to 44 Female                 | 0.066          | n/a         | 993                 | 11.5%                | n/a         | 1,774               | 7.9%                 |
| Age 45 to 64 Male                   | 0.269          | n/a         | 775                 | 8.9%                 | n/a         | 4,942               | 22.1%                |
| Age 45 to 64 Female                 | 0.079          | n/a         | 2,106               | 24.3%                | n/a         | 5,198               | 23.3%                |
| Age 65+ Male and Female             | 0.164          | n/a         | 1,084               | 12.5%                | n/a         | 4,545               | 20.3%                |
| Age Subtotal                        |                | n/a         | 8,670               | 100.0%               | n/a         | 22,356              | 100.0%               |
| Disease Categories                  |                |             |                     | 2 22/                |             |                     | 2 12/                |
| Alcoholism                          | 0.189          | 34          | 3                   | 0.0%                 | 34          | 14                  | 0.1%                 |
| Alzheimers                          | 0.000          | 35          | 0                   | 0.0%                 | 35          | 0                   | 0.0%                 |
| Anti-coagulants                     | 1.885          | 11          | 147                 | 1.7%                 | 9           | 468                 | 2.1%                 |
| Asthma / COPD                       | 0.376          | 2<br>35     | 1,981               | 22.8%                | 6           | 3,600               | 16.1%                |
| Attention Deficit Burns             | 0.000<br>0.170 | 35          | 0<br>58             | 0.0%                 | 35<br>32    | 0<br>145            | 0.0%<br>0.6%         |
| Cardiac                             | 0.170          | 32<br>1     |                     | 33.4%                | 32<br>1     | 8,587               | 38.4%                |
| Cystic Fibrosis                     | 0.299          | 27          | 2,900<br>36         | 0.4%                 | 28          | 111                 | 0.5%                 |
| Depression / Anxiety                | 0.773          | 13          | 1,799               | 20.7%                | 17          | 3,628               | 16.2%                |
| Diabetes                            | 0.031          | 8           | 1,735               | 12.5%                | 5           | 3,122               | 14.0%                |
| Ears, Eyes, Nose, and Throat (EENT) | 0.000          | 35          | 0                   | 0.0%                 | 35          | 0                   | 0.0%                 |
| ESRD / Renal                        | 5.296          | 5           | 118                 | 1.4%                 | 2           | 398                 | 1.8%                 |
| Folate Deficiency                   | 0.726          | 17          | 143                 | 1.6%                 | 13          | 554                 | 2.5%                 |
| CMV Retinitis                       | 0.992          | 33          | 3                   | 0.0%                 | 31          | 32                  | 0.1%                 |
| Gastric Acid Disorder               | 0.367          | 4           | 1,900               | 21.9%                | 4           | 4,863               | 21.8%                |
| Glaucoma                            | 0.239          | 24          | 173                 | 2.0%                 | 24          | 548                 | 2.5%                 |
| Gout                                | 0.000          | 35          | 0                   | 0.0%                 | 35          | 0                   | 0.0%                 |
| Growth Hormone                      | 2.921          | 29          | 8                   | 0.1%                 | 33          | 7                   | 0.0%                 |
| Hemophilia / von Willebrand's       | 26.452         | 28          | 1                   | 0.0%                 | 27          | 4                   | 0.0%                 |
| Hepatitis                           | 0.990          | 31          | 15                  | 0.2%                 | 29          | 83                  | 0.4%                 |
| Herpes                              | 0.146          | 30          | 144                 | 1.7%                 | 30          | 315                 | 1.4%                 |
| HIV                                 | 0.000          | 35          | 0                   | 0.0%                 | 35          | 0                   | 0.0%                 |
| Hyperlipidemia                      | 0.000          | 35          | 0                   | 0.0%                 | 35          | 0                   | 0.0%                 |
| Infections, High                    | 6.478          | 18          | 14                  | 0.2%                 | 15          | 55                  | 0.2%                 |
| Infections, Medium                  | 0.322          | 7           | 1,615               | 18.6%                | 7           | 3,804               | 17.0%                |
| Infections, Low                     | 0.000          | 35          | 0                   | 0.0%                 | 35          | 0                   | 0.0%                 |
| Inflammatory / Autoimmune           | 0.375          | 10          | 752                 | 8.7%                 | 12          | 1,462               | 6.5%                 |
| Insomnia                            | 0.223          | 15          | 622                 | 7.2%                 | 14          | 1,743               | 7.8%                 |
| Iron Deficiency                     | 0.281          | 16          | 446                 | 5.1%                 | 19          | 948                 | 4.2%                 |
| Irrigating Solution                 | 2.515          | 25          | 15                  | 0.2%                 | 18          | 106                 | 0.5%                 |
| Liver Disease<br>Malignancies       | 1.670<br>1.079 | 22<br>14    | 27<br>136           | 0.3%<br>1.6%         | 23<br>16    | 80<br>313           | 0.4%<br>1.4%         |
| Multiple Sclerosis / Paralysis      | 0.763          | 6           | 778                 | 9.0%                 | 10          | 963                 | 4.3%                 |
| Nausea                              | 0.763          | 19          | 572                 | 9.0%<br>6.6%         | 22          | 1,378               | 4.3%<br>6.2%         |
| Neurogenic Bladder                  | 0.446          | 20          | 144                 | 1.7%                 | 21          | 439                 | 2.0%                 |
| Disease Categories                  | 0.440          | 0           |                     | 1.7 /0               |             | 100                 | 2.570                |
| Osteoporosis / Paget's              | 0.000          | 35          | 0                   | 0.0%                 | 35          | 0                   | 0.0%                 |
| Pain                                | 0.167          | 9           | 2,878               | 33.2%                | 8           | 7,053               | 31.5%                |
| Parkinsons / Tremor                 | 0.107          | 23          | 226                 | 2.6%                 | 20          | 1,080               | 4.8%                 |
| Prenatal Care                       | 0.000          | 35          | 0                   | 0.0%                 | 35          | 0                   | 0.0%                 |
| Psychotic Illness / Bipolar         | 0.000          | 35          | 0                   | 0.0%                 | 35          | 0                   | 0.0%                 |
| Replacement Solution                | 0.311          | 12          | 754                 | 8.7%                 | 11          | 1,819               | 8.1%                 |
| Seizure Disorders                   | 0.600          | 3           | 1,180               | 13.6%                | 3           | 3,399               | 15.2%                |
| Thyroid Disorder                    | 0.126          | 21          | 418                 | 4.8%                 | 25          | 977                 | 4.4%                 |
| Transplant                          | 0.000          | 35          | 0                   | 0.0%                 | 35          | 0                   | 0.0%                 |
| Tuberculosis                        | 0.565          | 26          | 64                  | 0.7%                 | 26          | 207                 | 0.9%                 |
|                                     |                | Plan        |                     |                      | FFS         |                     | County               |
| Risk Adjustment Results             |                | Total       |                     |                      | Total       |                     | Total                |
| Scored Members                      |                | 8,670       |                     |                      | 22,356      |                     | 31,026               |
| Unadjusted Risk Score               |                | 1.161       |                     |                      | 1.111       |                     | 1.125                |
| Normalized Risk Score               |                | 1.032       |                     |                      | 0.988       |                     | 1.000                |
|                                     |                |             |                     |                      |             |                     |                      |

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**Contra Costa County** 

| Contra Costa County                 |                |             |                     |                           | -               |                     |                           |
|-------------------------------------|----------------|-------------|---------------------|---------------------------|-----------------|---------------------|---------------------------|
|                                     | Disabled       |             | lanaged Ca          |                           | Fee-for-Service |                     |                           |
| Risk Categories                     | Disabled       | Disease     | Scored              | Combined                  | Disease         | Scored              | Combined                  |
|                                     | Weight         | Impact Rank | Recipient<br>Counts | Category<br>Distributions | Impact Rank     | Recipient<br>Counts | Category<br>Distributions |
| Demographic Categories              |                |             | Counto              | Biotribations             |                 | Counto              | Biotributions             |
| Under Age 1                         | 1.605          | n/a         | 10                  | 0.2%                      | n/a             | 18                  | 0.2%                      |
| Age 1 to 4                          | 0.921          | n/a         | 183                 | 4.2%                      | n/a             | 120                 | 1.1%                      |
| Age 5 to 14 Male                    | 0.496          | n/a         | 565                 | 13.0%                     | n/a             | 448                 | 3.9%                      |
| Age 5 to 14 Female                  | 0.706          | n/a         | 294                 | 6.7%                      | n/a             | 241                 | 2.1%                      |
| Age 15 to 24 Male                   | 0.232          | n/a         | 436                 | 10.0%                     | n/a             | 961                 | 8.5%                      |
| Age 15 to 24 Female                 | 0.261          | n/a         | 338                 | 7.8%                      | n/a             | 592                 | 5.2%                      |
| Age 25 to 44 Male                   | 0.213          | n/a         | 228                 | 5.2%                      | n/a             | 1,254               | 11.0%                     |
| Age 25 to 44 Female                 | 0.066          | n/a         | 636                 | 14.6%                     | n/a             | 1,002               | 8.8%                      |
| Age 45 to 64 Male                   | 0.269          | n/a         | 319                 | 7.3%                      | n/a             | 2,117               | 18.6%                     |
| Age 45 to 64 Female                 | 0.079          | n/a         | 980                 | 22.5%                     | n/a             | 2,498               | 22.0%                     |
| Age 65+ Male and Female             | 0.164          | n/a         | 370                 | 8.5%                      | n/a             | 2,115               | 18.6%                     |
| Age Subtotal                        |                | n/a         | 4,359               | 100.0%                    | n/a             | 11,366              | 100.0%                    |
| Disease Categories                  |                |             |                     |                           |                 |                     |                           |
| Alcoholism                          | 0.189          | 34          | 1                   | 0.0%                      | 34              | 11                  | 0.1%                      |
| Alzheimers                          | 0.000          | 35          | 0                   | 0.0%                      | 35              | 0                   | 0.0%                      |
| Anti-coagulants                     | 1.885          | 11          | 73                  | 1.7%                      | 9               | 289                 | 2.5%                      |
| Asthma / COPD                       | 0.376          | 3           | 994                 | 22.8%                     | 6               | 1,916               | 16.9%                     |
| Attention Deficit                   | 0.000<br>0.170 | 35<br>32    | 0<br>26             | 0.0%<br>0.6%              | 35<br>31        | 0<br>113            | 0.0%<br>1.0%              |
| Burns<br>Cardiac                    | 0.170          | 32<br>2     | 26<br>1,262         | 29.0%                     | 1               | 4,307               | 37.9%                     |
| Cystic Fibrosis                     | 0.299          | 30          | 1,262               | 0.3%                      | 28              | 4,307               | 37.9%<br>0.4%             |
| Depression / Anxiety                | 0.773          | 13          | 911                 | 20.9%                     | 14              | 2,246               | 19.8%                     |
| Diabetes                            | 0.031          | 9           | 439                 | 10.1%                     | 5               | 1,595               | 14.0%                     |
| Ears, Eyes, Nose, and Throat (EENT) | 0.000          | 35          | 0                   | 0.0%                      | 35              | 0                   | 0.0%                      |
| ESRD / Renal                        | 5.296          | 1           | 76                  | 1.7%                      | 3               | 174                 | 1.5%                      |
| Folate Deficiency                   | 0.726          | 17          | 76                  | 1.7%                      | 13              | 295                 | 2.6%                      |
| CMV Retinitis                       | 0.992          | 33          | 3                   | 0.1%                      | 33              | 9                   | 0.1%                      |
| Gastric Acid Disorder               | 0.367          | 6           | 772                 | 17.7%                     | 4               | 2,231               | 19.6%                     |
| Glaucoma                            | 0.239          | 28          | 48                  | 1.1%                      | 24              | 283                 | 2.5%                      |
| Gout                                | 0.000          | 35          | 0                   | 0.0%                      | 35              | 0                   | 0.0%                      |
| Growth Hormone                      | 2.921          | 23          | 9                   | 0.2%                      | 32              | 4                   | 0.0%                      |
| Hemophilia / von Willebrand's       | 26.452         | 22          | 1                   | 0.0%                      | 26              | 2                   | 0.0%                      |
| Hepatitis                           | 0.990          | 31          | 9                   | 0.2%                      | 27              | 38                  | 0.3%                      |
| Herpes                              | 0.146          | 29          | 75                  | 1.7%                      | 30              | 175                 | 1.5%                      |
| HIV                                 | 0.000          | 35          | 0                   | 0.0%                      | 35              | 0                   | 0.0%                      |
| Hyperlipidemia                      | 0.000          | 35          | 0                   | 0.0%                      | 35              | 0                   | 0.0%                      |
| Infections, High                    | 6.478          | 14          | 11                  | 0.3%                      | 16              | 28                  | 0.2%                      |
| Infections, Medium                  | 0.322          | 8           | 665                 | 15.3%                     | 8               | 1,755               | 15.4%                     |
| Infections, Low                     | 0.000          | 35          | 0                   | 0.0%                      | 35              | 0                   | 0.0%                      |
| Inflammatory / Autoimmune           | 0.375          | 10          | 384                 | 8.8%                      | 11              | 785                 | 6.9%                      |
| Insomnia                            | 0.223          | 16<br>18    | 258                 | 5.9%<br>3.9%              | 17<br>18        | 742<br>463          | 6.5%<br>4.1%              |
| Iron Deficiency                     | 0.281          |             | 168<br>7            |                           |                 |                     |                           |
| Irrigating Solution Liver Disease   | 2.515<br>1.670 | 26<br>21    | 17                  | 0.2%<br>0.4%              | 20<br>23        | 41<br>52            | 0.4%<br>0.5%              |
| Malignancies                        | 1.079          | 15          | 60                  | 1.4%                      | 15              | 171                 | 1.5%                      |
| Multiple Sclerosis / Paralysis      | 0.763          | 5           | 424                 | 9.7%                      | 10              | 505                 | 4.4%                      |
| Nausea                              | 0.137          | 19          | 283                 | 6.5%                      | 22              | 662                 | 5.8%                      |
| Neurogenic Bladder                  | 0.446          | 20          | 82                  | 1.9%                      | 19              | 264                 | 2.3%                      |
| Disease Categories                  | 20             |             |                     |                           |                 |                     |                           |
| Osteoporosis / Paget's              | 0.000          | 35          | 0                   | 0.0%                      | 35              | 0                   | 0.0%                      |
| Pain                                | 0.167          | 7           | 1,466               | 33.6%                     | 7               | 3,758               | 33.1%                     |
| Parkinsons / Tremor                 | 0.191          | 24          | 125                 | 2.9%                      | 21              | 532                 | 4.7%                      |
| Prenatal Care                       | 0.000          | 35          | 0                   | 0.0%                      | 35              | 0                   | 0.0%                      |
| Psychotic Illness / Bipolar         | 0.000          | 35          | 0                   | 0.0%                      | 35              | 0                   | 0.0%                      |
| Replacement Solution                | 0.311          | 12          | 357                 | 8.2%                      | 12              | 829                 | 7.3%                      |
| Seizure Disorders                   | 0.600          | 4           | 580                 | 13.3%                     | 2               | 1,781               | 15.7%                     |
| Thyroid Disorder                    | 0.126          | 25          | 171                 | 3.9%                      | 25              | 513                 | 4.5%                      |
| Transplant                          | 0.000          | 35          | 0                   | 0.0%                      | 35              | 0                   | 0.0%                      |
| Tuberculosis                        | 0.565          | 27          | 22                  | 0.5%                      | 29              | 57                  | 0.5%                      |
| Risk Adjustment Results             |                | Plan        |                     |                           | FFS             |                     | County                    |
| •                                   |                | Total       |                     |                           | Total           |                     | Total                     |
| Scored Members                      |                | 4,359       |                     |                           | 11,366          |                     | 15,725                    |
| Unadjusted Risk Score               |                | 1.148       |                     |                           | 1.104           |                     | 1.116                     |
| Normalized Risk Score               |                | 1.029       |                     |                           | 0.989           |                     | 1.000                     |

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Fresno County

| Fresno County                       |          |             |                     |                           |             |                     |                           |
|-------------------------------------|----------|-------------|---------------------|---------------------------|-------------|---------------------|---------------------------|
|                                     | 6: 11 1  | IV          | lanaged Ca          | re                        | F           | ee-for-Servi        |                           |
| Risk Categories                     | Disabled | Disease     | Scored              | Combined                  | Disease     | Scored              | Combined                  |
|                                     | Weight   | Impact Rank | Recipient<br>Counts | Category<br>Distributions | Impact Rank | Recipient<br>Counts | Category<br>Distributions |
| Domographic Categories              |          |             | Courits             | Distributions             |             | Courits             | DISTIDUTIONS              |
| Demographic Categories Under Age 1  | 1.605    | n/a         | 39                  | 0.5%                      | n/a         | 25                  | 0.2%                      |
| Age 1 to 4                          | 0.921    | n/a         | 481                 | 6.0%                      | n/a         | 279                 | 1.8%                      |
| Age 1 to 4<br>Age 5 to 14 Male      | 0.921    | n/a         |                     | 15.1%                     |             | 747                 | 5.0%                      |
| · ·                                 |          |             | 1,220               | 7.1%                      | n/a         |                     |                           |
| Age 5 to 14 Female                  | 0.706    | n/a         | 573                 |                           | n/a         | 439                 | 2.9%                      |
| Age 15 to 24 Male                   | 0.232    | n/a         | 700                 | 8.7%                      | n/a         | 1,125               | 7.5%                      |
| Age 15 to 24 Female                 | 0.261    | n/a         | 492                 | 6.1%                      | n/a         | 708                 | 4.7%                      |
| Age 25 to 44 Male                   | 0.213    | n/a         | 386                 | 4.8%                      | n/a         | 1,712               | 11.3%                     |
| Age 25 to 44 Female                 | 0.066    | n/a         | 914                 | 11.3%                     | n/a         | 1,517               | 10.1%                     |
| Age 45 to 64 Male                   | 0.269    | n/a         | 871                 | 10.8%                     | n/a         | 2,897               | 19.2%                     |
| Age 45 to 64 Female                 | 0.079    | n/a         | 2,011               | 25.0%                     | n/a         | 3,940               | 26.1%                     |
| Age 65+ Male and Female             | 0.164    | n/a         | 373                 | 4.6%                      | n/a         | 1,700               | 11.3%                     |
| Age Subtotal                        |          | n/a         | 8,060               | 100.0%                    | n/a         | 15,089              | 100.0%                    |
| Disease Categories                  |          |             |                     |                           |             |                     |                           |
| Alcoholism                          | 0.189    | 34          | 3                   | 0.0%                      | 34          | 8                   | 0.1%                      |
| Alzheimers                          | 0.000    | 35          | 0                   | 0.0%                      | 35          | 0                   | 0.0%                      |
| Anti-coagulants                     | 1.885    | 12          | 128                 | 1.6%                      | 10          | 275                 | 1.8%                      |
| Asthma / COPD                       | 0.376    | 1           | 2,497               | 31.0%                     | 4           | 3,364               | 22.3%                     |
| Attention Deficit                   | 0.000    | 35          | 0                   | 0.0%                      | 35          | 0                   | 0.0%                      |
| Burns                               | 0.170    | 33          | 76                  | 0.9%                      | 32          | 106                 | 0.7%                      |
| Cardiac                             | 0.299    | 5           | 2,575               | 31.9%                     | 1           | 5,727               | 38.0%                     |
| Cystic Fibrosis                     | 0.773    | 31          | 21                  | 0.3%                      | 30          | 42                  | 0.3%                      |
| Depression / Anxiety                | 0.091    | 14          | 1,679               | 20.8%                     | 15          | 3,098               | 20.5%                     |
| Diabetes                            | 0.477    | 8           | 1,245               | 15.4%                     | 5           | 2,628               | 17.4%                     |
| Ears, Eyes, Nose, and Throat (EENT) | 0.000    | 35          | 0                   | 0.0%                      | 35          | 0                   | 0.0%                      |
| ESRD / Renal                        | 5.296    | 6           | 132                 | 1.6%                      | 6           | 234                 | 1.6%                      |
| Folate Deficiency                   | 0.726    | 18          | 190                 | 2.4%                      | 18          | 338                 | 2.2%                      |
| CMV Retinitis                       | 0.992    | 32          | 14                  | 0.2%                      | 33          | 14                  | 0.1%                      |
| Gastric Acid Disorder               | 0.367    | 3           | 2,201               | 27.3%                     | 3           | 4,335               | 28.7%                     |
| Glaucoma                            | 0.239    | 30          | 77                  | 1.0%                      | 28          | 219                 | 1.5%                      |
| Gout                                | 0.000    | 35          | 0                   | 0.0%                      | 35          | 0                   | 0.0%                      |
| Growth Hormone                      | 2.921    | 26          | 12                  | 0.0%                      | 31          | 11                  | 0.1%                      |
| Hemophilia / von Willebrand's       | 26.452   | 22          | 4                   | 0.1%                      | 16          | 10                  | 0.1%                      |
| Hepatitis                           | 0.990    | 29          | 19                  | 0.0%                      | 27          | 53                  | 0.1%                      |
|                                     | 0.990    | 25          | 242                 | 3.0%                      | 29          | 268                 | 1.8%                      |
| Herpes<br>HIV                       |          | 35          | 0                   | 0.0%                      | 35          | 0                   | 0.0%                      |
|                                     | 0.000    |             |                     |                           |             |                     |                           |
| Hyperlipidemia                      | 0.000    | 35          | 0                   | 0.0%                      | 35          | 0                   | 0.0%                      |
| Infections, High                    | 6.478    | 15          | 23                  | 0.3%                      | 13          | 52                  | 0.3%                      |
| Infections, Medium                  | 0.322    | 7           | 2,043               | 25.3%                     | 7           | 3,345               | 22.2%                     |
| Infections, Low                     | 0.000    | 35          | 0                   | 0.0%                      | 35          | 0                   | 0.0%                      |
| Inflammatory / Autoimmune           | 0.375    | 10          | 790                 | 9.8%                      | 11          | 1,214               | 8.0%                      |
| Insomnia                            | 0.223    | 17          | 653                 | 8.1%                      | 14          | 1,295               | 8.6%                      |
| Iron Deficiency                     | 0.281    | 13          | 659                 | 8.2%                      | 17          | 877                 | 5.8%                      |
| Irrigating Solution                 | 2.515    | 28          | 11                  | 0.1%                      | 23          | 66                  | 0.4%                      |
| Liver Disease                       | 1.670    | 19          | 77                  | 1.0%                      | 22          | 106                 | 0.7%                      |
| Malignancies                        | 1.079    | 16          | 136                 | 1.7%                      | 19          | 207                 | 1.4%                      |
| Multiple Sclerosis / Paralysis      | 0.763    | 4           | 1,028               | 12.8%                     | 9           | 824                 | 5.5%                      |
| Nausea                              | 0.137    | 20          | 906                 | 11.2%                     | 20          | 1,586               | 10.5%                     |
| Neurogenic Bladder                  | 0.446    | 21          | 240                 | 3.0%                      | 21          | 407                 | 2.7%                      |
| Disease Categories                  |          |             |                     |                           |             |                     |                           |
| Osteoporosis / Paget's              | 0.000    | 35          | 0                   | 0.0%                      | 35          | 0                   | 0.0%                      |
| Pain                                | 0.167    | 9           | 3,162               | 39.2%                     | 8           | 5,512               | 36.5%                     |
| Parkinsons / Tremor                 | 0.191    | 27          | 173                 | 2.1%                      | 24          | 657                 | 4.4%                      |
| Prenatal Care                       | 0.000    | 35          | 0                   | 0.0%                      | 35          | 0                   | 0.0%                      |
| Psychotic Illness / Bipolar         | 0.000    | 35          | 0                   | 0.0%                      | 35          | 0                   | 0.0%                      |
| Replacement Solution                | 0.311    | 11          | 928                 | 11.5%                     | 12          | 1,406               | 9.3%                      |
| Seizure Disorders                   | 0.600    | 2           | 1,429               | 17.7%                     | 2           | 2,797               | 18.5%                     |
| Thyroid Disorder                    | 0.126    | 23          | 419                 | 5.2%                      | 25          | 848                 | 5.6%                      |
| Transplant                          | 0.000    | 35          | 0                   | 0.0%                      | 35          | 0                   | 0.0%                      |
| Tuberculosis                        | 0.565    | 24          | 88                  | 1.1%                      | 26          | 155                 | 1.0%                      |
|                                     | 0.000    | Plan        |                     | ,                         | FFS         | . 50                | County                    |
| Risk Adjustment Results             |          | Total       |                     |                           | Total       |                     | Total                     |
| Scored Members                      |          | 8,060       |                     |                           | 15,089      |                     | 23,149                    |
| Unadjusted Risk Score               |          | 1.435       |                     |                           | 1.274       |                     | 1.330                     |
|                                     |          |             |                     |                           |             |                     |                           |
| Normalized Risk Score               |          | 1.079       |                     |                           | 0.958       |                     | 1.000                     |

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**Kern County** 

|   | Disabled           | lv lv                  | lanaged Ca          |                      | F                      | ee-for-Servi        |                      |
|---|--------------------|------------------------|---------------------|----------------------|------------------------|---------------------|----------------------|
| Risk Categories                           | Disabled<br>Weight | Disease<br>Impact Rank | Scored<br>Recipient | Combined<br>Category | Disease<br>Impact Rank | Scored<br>Recipient | Combined<br>Category |
| Demographic Categories                    |                    |                        | Counts              | Distributions        | ,                      | Counts              | Distributions        |
| Under Age 1                               | 1.605              | n/a                    | 17                  | 0.3%                 | n/a                    | 17                  | 0.1%                 |
| Age 1 to 4                                | 0.921              | n/a                    | 346                 | 5.3%                 | n/a                    | 246                 | 1.9%                 |
| Age 5 to 14 Male                          | 0.496              | n/a                    | 923                 | 14.1%                | n/a                    | 688                 | 5.4%                 |
| Age 5 to 14 Female                        | 0.706              | n/a                    | 488                 | 7.5%                 | n/a                    | 372                 | 2.9%                 |
| Age 15 to 24 Male                         | 0.232              | n/a                    | 718                 | 11.0%                | n/a                    | 1,217               | 9.5%                 |
| Age 15 to 24 Female                       | 0.261              | n/a                    | 584                 | 9.0%                 | n/a                    | 778                 | 6.1%                 |
| Age 25 to 44 Male<br>Age 25 to 44 Female  | 0.213<br>0.066     | n/a<br>n/a             | 352<br>946          | 5.4%<br>14.5%        | n/a<br>n/a             | 1,652<br>1,555      | 12.9%<br>12.2%       |
| Age 45 to 64 Male                         | 0.269              | n/a                    | 534                 | 8.2%                 | n/a                    | 2,392               | 18.7%                |
| Age 45 to 64 Female                       | 0.203              | n/a                    | 1,431               | 21.9%                | n/a                    | 3.105               | 24.3%                |
| Age 65+ Male and Female                   | 0.164              | n/a                    | 185                 | 2.8%                 | n/a                    | 760                 | 5.9%                 |
| Age Subtotal                              |                    | n/a                    | 6,524               | 100.0%               | n/a                    | 12,782              | 100.0%               |
| Disease Categories                        |                    |                        |                     |                      |                        |                     |                      |
| Alcoholism                                | 0.189              | 33                     | 3                   | 0.0%                 | 34                     | 6                   | 0.0%                 |
| Alzheimers                                | 0.000              | 34                     | 0                   | 0.0%                 | 35                     | 0                   | 0.0%                 |
| Anti-coagulants                           | 1.885              | 11                     | 115                 | 1.8%                 | 10                     | 277                 | 2.2%                 |
| Asthma / COPD                             | 0.376              | 3                      | 1,662               | 25.5%                | 4                      | 2,824               | 22.1%                |
| Attention Deficit Burns                   | 0.000<br>0.170     | 34<br>30               | 0<br>58             | 0.0%<br>0.9%         | 35<br>32               | 0<br>92             | 0.0%<br>0.7%         |
| Cardiac                                   | 0.170              | 4                      | 1,818               | 27.9%                | 2                      | 4,258               | 33.3%                |
| Cystic Fibrosis                           | 0.773              | 26                     | 33                  | 0.5%                 | 29                     | 62                  | 0.5%                 |
| Depression / Anxiety                      | 0.091              | 14                     | 1,314               | 20.1%                | 16                     | 2,319               | 18.1%                |
| Diabetes                                  | 0.477              | 7                      | 799                 | 12.2%                | 6                      | 1,746               | 13.7%                |
| Ears, Eyes, Nose, and Throat (EENT)       | 0.000              | 34                     | 0                   | 0.0%                 | 35                     | 0                   | 0.0%                 |
| ESRD / Renal                              | 5.296              | 10                     | 43                  | 0.7%                 | 9                      | 145                 | 1.1%                 |
| Folate Deficiency                         | 0.726              | 15                     | 158                 | 2.4%                 | 18                     | 260                 | 2.0%                 |
| CMV Retinitis                             | 0.992              | 31                     | 6                   | 0.1%                 | 33                     | 7                   | 0.1%                 |
| Gastric Acid Disorder Glaucoma            | 0.367<br>0.239     | 8<br>29                | 918<br>60           | 14.1%<br>0.9%        | 3<br>28                | 3,129<br>206        | 24.5%<br>1.6%        |
| Gout                                      | 0.239              | 34                     | 0                   | 0.9%                 | 35                     | 0                   | 0.0%                 |
| Growth Hormone                            | 2.921              | 27                     | 7                   | 0.1%                 | 31                     | 7                   | 0.1%                 |
| Hemophilia / von Willebrand's             | 26.452             | 34                     | 0                   | 0.0%                 | 26                     | 3                   | 0.0%                 |
| Hepatitis                                 | 0.990              | 32                     | 5                   | 0.1%                 | 27                     | 63                  | 0.5%                 |
| Herpes                                    | 0.146              | 28                     | 125                 | 1.9%                 | 30                     | 189                 | 1.5%                 |
| HIV                                       | 0.000              | 34                     | 0                   | 0.0%                 | 35                     | 0                   | 0.0%                 |
| Hyperlipidemia                            | 0.000              | 34                     | 0                   | 0.0%                 | 35                     | 0                   | 0.0%                 |
| Infections, High                          | 6.478              | 19                     | 11                  | 0.2%                 | 11                     | 56                  | 0.4%                 |
| Infections, Medium Infections, Low        | 0.322              | 5<br>34                | 1,585<br>0          | 24.3%<br>0.0%        | 5<br>35                | 3,071<br>0          | 24.0%<br>0.0%        |
| Inflammatory / Autoimmune                 | 0.000              | 9                      | 696                 | 10.7%                | 12                     | 958                 | 7.5%                 |
| Insomnia                                  | 0.223              | 16                     | 506                 | 7.8%                 | 14                     | 1,219               | 9.5%                 |
| Iron Deficiency                           | 0.281              | 23                     | 130                 | 2.0%                 | 20                     | 533                 | 4.2%                 |
| Irrigating Solution                       | 2.515              | 24                     | 14                  | 0.2%                 | 15                     | 91                  | 0.7%                 |
| Liver Disease                             | 1.670              | 18                     | 45                  | 0.7%                 | 24                     | 58                  | 0.5%                 |
| Malignancies                              | 1.079              | 12                     | 141                 | 2.2%                 | 17                     | 190                 | 1.5%                 |
| Multiple Sclerosis / Paralysis            | 0.763              | 1                      | 1,018               | 15.6%                | 7                      | 1,036               | 8.1%                 |
| Nausea                                    | 0.137              | 17                     | 608                 | 9.3%                 | 19                     | 1,131               | 8.8%                 |
| Neurogenic Bladder                        | 0.446              | 20                     | 140                 | 2.1%                 | 21                     | 335                 | 2.6%                 |
| Disease Categories Osteoporosis / Paget's | 0.000              | 34                     | 0                   | 0.00/                | 25                     | 0                   | 0.0%                 |
| Pain                                      | 0.000<br>0.167     | 6                      | 2,426               | 0.0%<br>37.2%        | 35<br>8                | 4,666               | 36.5%                |
| Parkinsons / Tremor                       | 0.107              | 25                     | 144                 | 2.2%                 | 22                     | 608                 | 4.8%                 |
| Prenatal Care                             | 0.000              | 34                     | 0                   | 0.0%                 | 35                     | 0                   | 0.0%                 |
| Psychotic Illness / Bipolar               | 0.000              | 34                     | 0                   | 0.0%                 | 35                     | 0                   | 0.0%                 |
| Replacement Solution                      | 0.311              | 13                     | 432                 | 6.6%                 | 13                     | 1,113               | 8.7%                 |
| Seizure Disorders                         | 0.600              | 2                      | 1,134               | 17.4%                | 1                      | 2,603               | 20.4%                |
| Thyroid Disorder                          | 0.126              | 21                     | 380                 | 5.8%                 | 23                     | 795                 | 6.2%                 |
| Transplant                                | 0.000              | 34                     | 0                   | 0.0%                 | 35                     | 0                   | 0.0%                 |
| Tuberculosis                              | 0.565              | 22<br>Plan             | 66                  | 1.0%                 | 25<br><b>FFS</b>       | 148                 | 1.2%                 |
| Risk Adjustment Results                   |                    | Total                  |                     |                      | Total                  |                     | County<br>Total      |
| Scored Members                            |                    | 6,524                  |                     |                      | 12,782                 |                     | 19,306               |
| Unadjusted Risk Score                     |                    | 1.236                  |                     |                      | 1.242                  |                     | 1.240                |
| Normalized Risk Score                     |                    | 0.997                  |                     |                      | 1.001                  |                     | 1.000                |

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**Los Angeles County** 

| Los Angeles County                           | Managed Care   |                   |                     |                           | Fee-for-Service        |                     |                           |
|--|----------------|-------------------|---------------------|---------------------------|------------------------|---------------------|---------------------------|
| Risk Categories                              | Disabled       | Disease           | Scored              | Combined                  |                        | Scored              | Combined                  |
| rtisk Categories                             | Weight         | Impact Rank       | Recipient<br>Counts | Category<br>Distributions | Disease<br>Impact Rank | Recipient<br>Counts | Category<br>Distributions |
| Demographic Categories                       |                |                   | Counts              | DISTIDUTIONS              |                        | Counts              | Distributions             |
| Under Age 1                                  | 1.605          | n/a               | 169                 | 0.4%                      | n/a                    | 210                 | 0.1%                      |
| Age 1 to 4                                   | 0.921          | n/a               | 2,839               | 6.6%                      | n/a                    | 2,733               | 1.7%                      |
| Age 5 to 14 Male                             | 0.496          | n/a               | 8,325               | 19.5%                     | n/a                    | 7,859               | 4.8%                      |
| Age 5 to 14 Female                           | 0.706          | n/a               | 3,643               | 8.5%                      | n/a                    | 4,152               | 2.5%                      |
| Age 15 to 24 Male                            | 0.232          | n/a               | 4,950               | 11.6%                     | n/a                    | 10,141              | 6.2%                      |
| Age 15 to 24 Female                          | 0.261          | n/a               | 3,020               | 7.1%                      | n/a                    | 6,224               | 3.8%                      |
| Age 25 to 44 Male<br>Age 25 to 44 Female     | 0.213<br>0.066 | n/a<br>n/a        | 1,910<br>3,778      | 4.5%<br>8.8%              | n/a                    | 16,317<br>11,927    | 10.0%<br>7.3%             |
| Age 45 to 64 Male                            | 0.269          | n/a               | 3,395               | 7.9%                      | n/a<br>n/a             | 33,957              | 20.8%                     |
| Age 45 to 64 Female                          | 0.203          | n/a               | 8,499               | 19.9%                     | n/a                    | 40,978              | 25.1%                     |
| Age 65+ Male and Female                      | 0.164          | n/a               | 2,260               | 5.3%                      | n/a                    | 29,033              | 17.8%                     |
| Age Subtotal                                 |                | n/a               | 42,788              | 100.0%                    | n/a                    | 163,531             | 100.0%                    |
| Disease Categories                           |                |                   |                     |                           |                        |                     |                           |
| Alcoholism                                   | 0.189          | 34                | 13                  | 0.0%                      | 34                     | 63                  | 0.0%                      |
| Alzheimers                                   | 0.000          | 35                | 0                   | 0.0%                      | 35                     | 0                   | 0.0%                      |
| Anti-coagulants                              | 1.885          | 12                | 569                 | 1.3%                      | 8                      | 4,314               | 2.6%                      |
| Asthma / COPD                                | 0.376          | 2                 | 8,702               | 20.3%                     | 7<br>35                | 27,874              | 17.0%                     |
| Attention Deficit Burns                      | 0.000<br>0.170 | 35<br>31          | 0<br>311            | 0.0%<br>0.7%              | 35<br>32               | 0<br>1,839          | 0.0%<br>1.1%              |
| Cardiac                                      | 0.170          | 3                 | 10,657              | 24.9%                     | 32<br>1                | 64,979              | 39.7%                     |
| Cystic Fibrosis                              | 0.773          | 28                | 152                 | 0.4%                      | 23                     | 2,187               | 1.3%                      |
| Depression / Anxiety                         | 0.091          | 17                | 6,336               | 14.8%                     | 18                     | 27,975              | 17.1%                     |
| Diabetes                                     | 0.477          | 7                 | 4,644               | 10.9%                     | 6                      | 25,818              | 15.8%                     |
| Ears, Eyes, Nose, and Throat (EENT)          | 0.000          | 35                | 0                   | 0.0%                      | 35                     | 0                   | 0.0%                      |
| ESRD / Renal                                 | 5.296          | 8                 | 359                 | 0.8%                      | 3                      | 3,162               | 1.9%                      |
| Folate Deficiency                            | 0.726          | 15                | 973                 | 2.3%                      | 11                     | 7,114               | 4.4%                      |
| CMV Retinitis                                | 0.992          | 33                | 32                  | 0.1%                      | 33                     | 255                 | 0.2%                      |
| Gastric Acid Disorder Glaucoma               | 0.367<br>0.239 | 6<br>27           | 7,168<br>539        | 16.8%<br>1.3%             | 4<br>26                | 45,129<br>4,768     | 27.6%<br>2.9%             |
| Gout   | 0.239          | 35                | 0                   | 0.0%                      | 26<br>35               | 0                   | 0.0%                      |
| Growth Hormone                               | 2.921          | 26                | 48                  | 0.0%                      | 30                     | 153                 | 0.0%                      |
| Hemophilia / von Willebrand's                | 26.452         | 14                | 27                  | 0.1%                      | 24                     | 47                  | 0.0%                      |
| Hepatitis                                    | 0.990          | 32                | 52                  | 0.1%                      | 28                     | 535                 | 0.3%                      |
| Herpes                                       | 0.146          | 30                | 656                 | 1.5%                      | 31                     | 2,759               | 1.7%                      |
| HIV  | 0.000          | 35                | 0                   | 0.0%                      | 35                     | 0                   | 0.0%                      |
| Hyperlipidemia                               | 0.000          | 35                | 0                   | 0.0%                      | 35                     | 0                   | 0.0%                      |
| Infections, High                             | 6.478          | 19                | 73                  | 0.2%                      | 13                     | 695                 | 0.4%                      |
| Infections, Medium                           | 0.322          | 5<br>35           | 8,317<br>0          | 19.4%<br>0.0%             | 5<br>35                | 40,169<br>0         | 24.6%<br>0.0%             |
| Infections, Low<br>Inflammatory / Autoimmune | 0.000<br>0.375 | 35<br>10          | 4,003               | 9.4%                      | 35<br>14               | 11,878              | 7.3%                      |
| Insomnia                                     | 0.223          | 18                | 2,409               | 5.6%                      | 15                     | 19,973              | 12.2%                     |
| Iron Deficiency                              | 0.281          | 16                | 2,253               | 5.3%                      | 19                     | 8,479               | 5.2%                      |
| Irrigating Solution                          | 2.515          | 25                | 67                  | 0.2%                      | 17                     | 1,142               | 0.7%                      |
| Liver Disease                                | 1.670          | 23                | 120                 | 0.3%                      | 27                     | 495                 | 0.3%                      |
| Malignancies                                 | 1.079          | 13                | 777                 | 1.8%                      | 16                     | 3,263               | 2.0%                      |
| Multiple Sclerosis / Paralysis               | 0.763          | 4                 | 3,521               | 8.2%                      | 12                     | 6,272               | 3.8%                      |
| Nausea                                       | 0.137          | 20                | 3,112               | 7.3%                      | 21                     | 15,923              | 9.7%                      |
| Neurogenic Bladder                           | 0.446          | 21                | 733                 | 1.7%                      | 20                     | 5,201               | 3.2%                      |
| Disease Categories                           | 0.000          | 25                | ^                   | 0.007                     | 25                     | _                   | 0.007                     |
| Osteoporosis / Paget's<br>Pain               | 0.000<br>0.167 | 35<br>9           | 0<br>10,431         | 0.0%<br>24.4%             | 35<br>9                | 0<br>42,632         | 0.0%<br>26.1%             |
| Parkinsons / Tremor                          | 0.167          | 24                | 1,028               | 24.4%                     | 22                     | 9,379               | 5.7%                      |
| Prenatal Care                                | 0.000          | 35                | 0                   | 0.0%                      | 35                     | 0                   | 0.0%                      |
| Psychotic Illness / Bipolar                  | 0.000          | 35                | Ő                   | 0.0%                      | 35                     | Ö                   | 0.0%                      |
| Replacement Solution                         | 0.311          | 11                | 3,937               | 9.2%                      | 10                     | 21,648              | 13.2%                     |
| Seizure Disorders                            | 0.600          | 1                 | 5,673               | 13.3%                     | 2                      | 29,308              | 17.9%                     |
| Thyroid Disorder                             | 0.126          | 22                | 1,682               | 3.9%                      | 25                     | 9,232               | 5.6%                      |
| Transplant                                   | 0.000          | 35                | 0                   | 0.0%                      | 35                     | 0                   | 0.0%                      |
| Tuberculosis                                 | 0.565          | 29<br><b>Plan</b> | 175                 | 0.4%                      | 29<br><b>FFS</b>       | 817                 | 0.5%                      |
| Risk Adjustment Results                      |                | Total             |                     |                           | FFS<br>Total           |                     | County<br>Total           |
| Scored Members                               |                | 42,788            |                     |                           | 163,531                |                     | 206,319                   |
| Unadjusted Risk Score                        |                | 1.131             |                     |                           | 1.300                  |                     | 1.265                     |
| Normalized Risk Score                        |                | 0.894             |                     |                           | 1.028                  |                     | 1.000                     |
|  |                |                   |                     |                           |                        |                     |                           |

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**Riverside County** 

| Riverside County                       | Managed Care   |                   |                     |                           |                        |                        |                           |
|--|----------------|-------------------|---------------------|---------------------------|------------------------|------------------------|---------------------------|
| Risk Categories                        | Disabled       | Disease           | Scored              | Combined                  |                        | ee-for-Servi<br>Scored | Combined                  |
| Nisk Categories                        | Weight         | Impact Rank       | Recipient<br>Counts | Category<br>Distributions | Disease<br>Impact Rank | Recipient<br>Counts    | Category<br>Distributions |
| Demographic Categories                 |                |                   | Courts              | Distributions             |                        | Counts                 | Distributions             |
| Under Age 1                            | 1.605          | n/a               | 44                  | 0.6%                      | n/a                    | 35                     | 0.2%                      |
| Age 1 to 4                             | 0.921          | n/a               | 533                 | 7.3%                      | n/a                    | 525                    | 2.3%                      |
| Age 5 to 14 Male                       | 0.496          | n/a               | 1,199               | 16.4%                     | n/a                    | 1,590                  | 7.1%                      |
| Age 5 to 14 Female                     | 0.706          | n/a               | 546                 | 7.5%                      | n/a                    | 893                    | 4.0%                      |
| Age 15 to 24 Male                      | 0.232          | n/a               | 804                 | 11.0%                     | n/a                    | 2,176                  | 9.7%                      |
| Age 15 to 24 Female Age 25 to 44 Male  | 0.261<br>0.213 | n/a<br>n/a        | 503<br>400          | 6.9%<br>5.5%              | n/a<br>n/a             | 1,455<br>2,531         | 6.5%<br>11.3%             |
| Age 25 to 44 Female                    | 0.213          | n/a               | 823                 | 11.3%                     | n/a                    | 2,331                  | 9.8%                      |
| Age 45 to 64 Male                      | 0.269          | n/a               | 630                 | 8.6%                      | n/a                    | 3,815                  | 17.0%                     |
| Age 45 to 64 Female                    | 0.079          | n/a               | 1,515               | 20.7%                     | n/a                    | 5,158                  | 23.0%                     |
| Age 65+ Male and Female                | 0.164          | n/a               | 312                 | 4.3%                      | n/a                    | 2,017                  | 9.0%                      |
| Age Subtotal                           |                | n/a               | 7,309               | 100.0%                    | n/a                    | 22,393                 | 100.0%                    |
| Disease Categories                     |                |                   |                     |                           |                        |                        |                           |
| Alcoholism                             | 0.189          | 33                | 1                   | 0.0%                      | 34                     | 19                     | 0.1%                      |
| Alzheimers                             | 0.000          | 34                | 0                   | 0.0%                      | 35                     | 0                      | 0.0%                      |
| Anti-coagulants                        | 1.885          | 11                | 134                 | 1.8%                      | 8                      | 674                    | 3.0%                      |
| Asthma / COPD                          | 0.376          | 4<br>34           | 1,467<br>0          | 20.1%<br>0.0%             | 6<br>35                | 4,057<br>0             | 18.1%<br>0.0%             |
| Attention Deficit Burns                | 0.000<br>0.170 | 31                | 55                  | 0.0%                      | 33                     | 205                    | 0.0%                      |
| Cardiac                                | 0.170          | 2                 | 1,978               | 27.1%                     | 2                      | 7,812                  | 34.9%                     |
| Cystic Fibrosis                        | 0.773          | 30                | 14                  | 0.2%                      | 27                     | 125                    | 0.6%                      |
| Depression / Anxiety                   | 0.091          | 15                | 1,291               | 17.7%                     | 17                     | 3,782                  | 16.9%                     |
| Diabetes                               | 0.477          | 6                 | 913                 | 12.5%                     | 5                      | 3,338                  | 14.9%                     |
| Ears, Eyes, Nose, and Throat (EENT)    | 0.000          | 34                | 0                   | 0.0%                      | 35                     | 0                      | 0.0%                      |
| ESRD / Renal                           | 5.296          | 9                 | 66                  | 0.9%                      | 4                      | 353                    | 1.6%                      |
| Folate Deficiency                      | 0.726          | 17                | 119                 | 1.6%                      | 14                     | 665                    | 3.0%                      |
| CMV Retinitis                          | 0.992          | 32<br>5           | 4                   | 0.1%                      | 32                     | 43                     | 0.2%                      |
| Gastric Acid Disorder Glaucoma         | 0.367<br>0.239 | 26                | 1,364<br>97         | 18.7%<br>1.3%             | 28                     | 5,217<br>395           | 23.3%<br>1.8%             |
| Gout                                   | 0.239          | 34                | 0                   | 0.0%                      | 35                     | 0                      | 0.0%                      |
| Growth Hormone                         | 2.921          | 23                | 16                  | 0.0%                      | 26                     | 46                     | 0.0%                      |
| Hemophilia / von Willebrand's          | 26.452         | 34                | 0                   | 0.0%                      | 23                     | 7                      | 0.0%                      |
| Hepatitis                              | 0.990          | 29                | 12                  | 0.2%                      | 29                     | 74                     | 0.3%                      |
| Herpes                                 | 0.146          | 27                | 109                 | 1.5%                      | 31                     | 345                    | 1.5%                      |
| HIV                                    | 0.000          | 34                | 0                   | 0.0%                      | 35                     | 0                      | 0.0%                      |
| Hyperlipidemia                         | 0.000          | 34                | 0                   | 0.0%                      | 35                     | 0                      | 0.0%                      |
| Infections, High                       | 6.478          | 13                | 20                  | 0.3%                      | 11                     | 155                    | 0.7%                      |
| Infections, Medium Infections, Low     | 0.322          | 8<br>34           | 1,094<br>0          | 15.0%<br>0.0%             | 7<br>35                | 4,458<br>0             | 19.9%<br>0.0%             |
| Inflammatory / Autoimmune              | 0.375          | 10                | 727                 | 9.9%                      | 12                     | 1,889                  | 8.4%                      |
| Insomnia                               | 0.223          | 16                | 478                 | 6.5%                      | 15                     | 2,070                  | 9.2%                      |
| Iron Deficiency                        | 0.281          | 18                | 285                 | 3.9%                      | 19                     | 1,053                  | 4.7%                      |
| Irrigating Solution                    | 2.515          | 24                | 17                  | 0.2%                      | 18                     | 125                    | 0.6%                      |
| Liver Disease                          | 1.670          | 21                | 30                  | 0.4%                      | 25                     | 85                     | 0.4%                      |
| Malignancies                           | 1.079          | 14                | 120                 | 1.6%                      | 16                     | 386                    | 1.7%                      |
| Multiple Sclerosis / Paralysis         | 0.763          | 3                 | 735                 | 10.1%                     | 10                     | 1,442                  | 6.4%                      |
| Nausea                                 | 0.137          | 20                | 529                 | 7.2%                      | 21                     | 1,791                  | 8.0%                      |
| Neurogenic Bladder  Disease Categories | 0.446          | 19                | 172                 | 2.4%                      | 20                     | 557                    | 2.5%                      |
| Osteoporosis / Paget's                 | 0.000          | 34                | 0                   | 0.0%                      | 35                     | 0                      | 0.0%                      |
| Pain                                   | 0.000          | 7                 | 2,259               | 30.9%                     | 9                      | 6,993                  | 31.2%                     |
| Parkinsons / Tremor                    | 0.191          | 25                | 205                 | 2.8%                      | 22                     | 1,251                  | 5.6%                      |
| Prenatal Care                          | 0.000          | 34                | 0                   | 0.0%                      | 35                     | 0                      | 0.0%                      |
| Psychotic Illness / Bipolar            | 0.000          | 34                | 0                   | 0.0%                      | 35                     | 0                      | 0.0%                      |
| Replacement Solution                   | 0.311          | 12                | 494                 | 6.8%                      | 13                     | 1,657                  | 7.4%                      |
| Seizure Disorders                      | 0.600          | 1                 | 1,284               | 17.6%                     | 1                      | 4,626                  | 20.7%                     |
| Thyroid Disorder                       | 0.126          | 22                | 371                 | 5.1%                      | 24                     | 1,385                  | 6.2%                      |
| Transplant                             | 0.000          | 34                | 0                   | 0.0%                      | 35                     | 0                      | 0.0%                      |
| Tuberculosis                           | 0.565          | 28<br><b>Plan</b> | 24                  | 0.3%                      | 30<br><b>FFS</b>       | 120                    | 0.5%<br>County            |
| Risk Adjustment Results                |                | Total             |                     |                           | Total                  |                        | Total                     |
| Scored Members                         |                | 7,309             |                     |                           | 22,393                 |                        | 29,702                    |
| Unadjusted Risk Score                  |                | 1.179             |                     |                           | 1.280                  |                        | 1.255                     |
| Normalized Risk Score                  |                | 0.940             |                     |                           | 1.020                  |                        | 1.000                     |
|  |                |                   |                     |                           |                        |                        |                           |

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**San Bernardino County** 

| San Bernardino County                           |                 |             |                     |                           |                 |                     |                           |  |
|---|-----------------|-------------|---------------------|---------------------------|-----------------|---------------------|---------------------------|--|
|   | Disabled        |             | lanaged Ca          |                           | Fee-for-Service |                     |                           |  |
| Risk Categories                                 | Disabled        | Disease     | Scored              | Combined                  | Disease         | Scored              | Combined                  |  |
|   | Weight          | Impact Rank | Recipient<br>Counts | Category<br>Distributions | Impact Rank     | Recipient<br>Counts | Category<br>Distributions |  |
| Demographic Categories                          |                 |             | Counts              | Distributions             |                 | Counts              | Distributions             |  |
| Under Age 1                                     | 1.605           | n/a         | 37                  | 0.4%                      | n/a             | 66                  | 0.2%                      |  |
| Age 1 to 4                                      | 0.921           | n/a         | 697                 | 8.0%                      | n/a             | 706                 | 2.3%                      |  |
| Age 5 to 14 Male                                | 0.496           | n/a         | 1,513               | 17.4%                     | n/a             | 2,320               | 7.5%                      |  |
| Age 5 to 14 Female                              | 0.706           | n/a         | 733                 | 8.4%                      | n/a             | 1,266               | 4.1%                      |  |
| Age 15 to 24 Male                               | 0.732           | n/a         | 999                 | 11.5%                     | n/a             | 3,092               | 10.0%                     |  |
| Age 15 to 24 Female                             | 0.261           | n/a         | 644                 | 7.4%                      | n/a             | 1,919               | 6.2%                      |  |
| Age 25 to 44 Male                               | 0.213           | n/a         | 452                 | 5.2%                      | n/a             | 3,405               | 11.1%                     |  |
| Age 25 to 44 Female                             | 0.066           | n/a         | 985                 | 11.3%                     | n/a             | 3,283               | 10.7%                     |  |
| Age 45 to 64 Male                               | 0.269           | n/a         | 682                 | 7.8%                      | n/a             | 5,246               | 17.0%                     |  |
| Age 45 to 64 Female                             | 0.079           | n/a         | 1,721               | 19.8%                     | n/a             | 6,968               | 22.6%                     |  |
| Age 65+ Male and Female                         | 0.164           | n/a         | 234                 | 2.7%                      | n/a             | 2,511               | 8.2%                      |  |
| Age Subtotal                                    |                 | n/a         | 8,697               | 100.0%                    | n/a             | 30,782              | 100.0%                    |  |
| Disease Categories                              |                 |             |                     |                           |                 |                     |                           |  |
| Alcoholism                                      | 0.189           | 34          | 3                   | 0.0%                      | 34              | 39                  | 0.1%                      |  |
| Alzheimers                                      | 0.000           | 35          | 0                   | 0.0%                      | 35              | 0                   | 0.0%                      |  |
| Anti-coagulants                                 | 1.885           | 10          | 161                 | 1.9%                      | 10              | 833                 | 2.7%                      |  |
| Asthma / COPD                                   | 0.376           | 2           | 1,918               | 22.1%                     | 4               | 6,166               | 20.0%                     |  |
| Attention Deficit                               | 0.000           | 35          | 0                   | 0.0%                      | 35              | 0                   | 0.0%                      |  |
| Burns   | 0.170           | 31          | 72                  | 0.8%                      | 32              | 334                 | 1.1%                      |  |
| Cardiac   | 0.299           | 3           | 2,338               | 26.9%                     | 2               | 10,432              | 33.9%                     |  |
| Cystic Fibrosis                                 | 0.773           | 29          | 22                  | 0.3%                      | 26              | 193                 | 0.6%                      |  |
| Depression / Anxiety                            | 0.091           | 16          | 1,447               | 16.6%                     | 17              | 5,409               | 17.6%                     |  |
| Diabetes  | 0.477           | 6           | 1,041               | 12.0%                     | 7               | 4,242               | 13.8%                     |  |
| Ears, Eyes, Nose, and Throat (EENT)             | 0.000           | 35          | 0                   | 0.0%                      | 35              | 0                   | 0.0%                      |  |
| ESRD / Renal                                    | 5.296           | 11          | 56                  | 0.6%                      | 5               | 422                 | 1.4%                      |  |
| Folate Deficiency                               | 0.726           | 19          | 146                 | 1.7%                      | 15              | 827                 | 2.7%                      |  |
| CMV Retinitis                                   | 0.992           | 33          | 8                   | 0.1%                      | 33              | 38                  | 0.1%                      |  |
| Gastric Acid Disorder                           | 0.367           | 5           | 1,521               | 17.5%                     | 3               | 7,121               | 23.1%                     |  |
| Glaucoma  | 0.239           | 27          | 77                  | 0.9%                      | 28              | 500                 | 1.6%                      |  |
| Gout  | 0.000           | 35<br>26    | 0<br>14             | 0.0%<br>0.2%              | 35<br>27        | 0<br>44             | 0.0%                      |  |
| Growth Hormone<br>Hemophilia / von Willebrand's | 2.921<br>26.452 | 26<br>15    | 14<br>5             | 0.2%                      | 19              | 15                  | 0.1%<br>0.0%              |  |
| Hepatitis                                       | 0.990           | 30          | 14                  | 0.1%                      | 29              | 77                  | 0.0%                      |  |
| Herpes  | 0.330           | 28          | 126                 | 1.4%                      | 30              | 496                 | 1.6%                      |  |
| HIV   | 0.000           | 35          | 0                   | 0.0%                      | 35              | 0                   | 0.0%                      |  |
| Hyperlipidemia                                  | 0.000           | 35          | 0                   | 0.0%                      | 35              | 0                   | 0.0%                      |  |
| Infections, High                                | 6.478           | 13          | 23                  | 0.3%                      | 11              | 190                 | 0.6%                      |  |
| Infections, Medium                              | 0.322           | 8           | 1,296               | 14.9%                     | 6               | 6,851               | 22.3%                     |  |
| Infections, Low                                 | 0.000           | 35          | 0                   | 0.0%                      | 35              | 0                   | 0.0%                      |  |
| Inflammatory / Autoimmune                       | 0.375           | 9           | 975                 | 11.2%                     | 12              | 2,673               | 8.7%                      |  |
| Insomnia  | 0.223           | 17          | 547                 | 6.3%                      | 14              | 3,220               | 10.5%                     |  |
| Iron Deficiency                                 | 0.281           | 18          | 387                 | 4.4%                      | 21              | 1,240               | 4.0%                      |  |
| Irrigating Solution                             | 2.515           | 23          | 22                  | 0.3%                      | 18              | 195                 | 0.6%                      |  |
| Liver Disease                                   | 1.670           | 22          | 40                  | 0.5%                      | 25              | 101                 | 0.3%                      |  |
| Malignancies                                    | 1.079           | 14          | 136                 | 1.6%                      | 16              | 533                 | 1.7%                      |  |
| Multiple Sclerosis / Paralysis                  | 0.763           | 4           | 881                 | 10.1%                     | 8               | 2,423               | 7.9%                      |  |
| Nausea  | 0.137           | 20          | 533                 | 6.1%                      | 23              | 2,372               | 7.7%                      |  |
| Neurogenic Bladder                              | 0.446           | 21          | 163                 | 1.9%                      | 20              | 809                 | 2.6%                      |  |
| Disease Categories                              |                 |             |                     |                           |                 |                     |                           |  |
| Osteoporosis / Paget's                          | 0.000           | 35          | 0                   | 0.0%                      | 35              | 0                   | 0.0%                      |  |
| Pain  | 0.167           | 7           | 2,532               | 29.1%                     | 9               | 9,902               | 32.2%                     |  |
| Parkinsons / Tremor                             | 0.191           | 25          | 242                 | 2.8%                      | 22              | 1,741               | 5.7%                      |  |
| Prenatal Care                                   | 0.000           | 35          | 0                   | 0.0%                      | 35              | 0                   | 0.0%                      |  |
| Psychotic Illness / Bipolar                     | 0.000           | 35          | 0                   | 0.0%                      | 35              | 0                   | 0.0%                      |  |
| Replacement Solution                            | 0.311           | 12          | 726                 | 8.3%                      | 13              | 2,784               | 9.0%                      |  |
| Seizure Disorders                               | 0.600           | 1           | 1,360               | 15.6%                     | 1               | 6,494               | 21.1%                     |  |
| Thyroid Disorder                                | 0.126           | 24          | 387                 | 4.4%                      | 24              | 1,861               | 6.0%                      |  |
| Transplant                                      | 0.000           | 35<br>32    | 0<br>20             | 0.0%                      | 35<br>31        | 0                   | 0.0%<br>0.4%              |  |
| Tuberculosis                                    | 0.565           | 72<br>Plan  | 20                  | 0.2%                      | FFS             | 118                 | County                    |  |
| Risk Adjustment Results                         |                 | Total       |                     |                           | Total           |                     | Total                     |  |
| Scored Members                                  |                 | 8,697       |                     |                           | 30,782          |                     | 39,479                    |  |
| Unadjusted Risk Score                           |                 | 1.182       |                     |                           | 1.289           |                     | 1.265                     |  |
| Normalized Risk Score                           |                 | 0.934       |                     |                           | 1.019           |                     | 1.000                     |  |
| HOTHIGHZEG INDA OCCIE                           |                 | 0.004       |                     |                           | 1.013           |                     | 1.000                     |  |

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**Santa Clara County** 

| Santa Clara County                  |          |                |            |               |                 |              |               |  |
|-------------------------------------|----------|----------------|------------|---------------|-----------------|--------------|---------------|--|
|                                     |          | N.             | lanaged Ca | re            | Fee-for-Service |              |               |  |
| Risk Categories                     | Disabled | Disease        | Scored     | Combined      | Disease         | Scored       | Combined      |  |
| Their Categories                    | Weight   | Impact Rank    | Recipient  | Category      | Impact Rank     | Recipient    | Category      |  |
|                                     |          | impact realist | Counts     | Distributions | impaot rtaint   | Counts       | Distributions |  |
| Demographic Categories              |          |                |            |               |                 |              |               |  |
| Under Age 1                         | 1.605    | n/a            | 24         | 0.4%          | n/a             | 36           | 0.2%          |  |
| Age 1 to 4                          | 0.921    | n/a            | 296        | 5.1%          | n/a             | 315          | 1.8%          |  |
| Age 5 to 14 Male                    | 0.496    | n/a            | 600        | 10.3%         | n/a             | 777          | 4.5%          |  |
| Age 5 to 14 Female                  | 0.706    | n/a            | 275        | 4.7%          | n/a             | 400          | 2.3%          |  |
| Age 15 to 24 Male                   | 0.232    | n/a            | 413        | 7.1%          | n/a             | 986          | 5.7%          |  |
| Age 15 to 24 Female                 | 0.261    | n/a            | 250        | 4.3%          | n/a             | 586          | 3.4%          |  |
| Age 25 to 44 Male                   | 0.213    | n/a            | 235        | 4.0%          | n/a             | 1,617        | 9.3%          |  |
| Age 25 to 44 Female                 | 0.066    | n/a            | 448        | 7.7%          | n/a             | 1,262        | 7.2%          |  |
| Age 45 to 64 Male                   | 0.269    | n/a            | 467        | 8.0%          | n/a             | 2,875        | 16.5%         |  |
| Age 45 to 64 Female                 | 0.079    | n/a            | 1,045      | 17.9%         | n/a             | 3,559        | 20.4%         |  |
| Age 65+ Male and Female             | 0.164    | n/a            | 1,773      | 30.4%         | n/a             | 5,006        | 28.7%         |  |
| Age Subtotal                        |          | n/a            | 5,826      | 100.0%        | n/a             | 17,419       | 100.0%        |  |
| Disease Categories                  |          |                | ,          |               |                 | ,            |               |  |
| Alcoholism                          | 0.189    | 34             | 0          | 0.0%          | 34              | 3            | 0.0%          |  |
| Alzheimers                          | 0.000    | 34             | 0          | 0.0%          | 35              | 0            | 0.0%          |  |
| Anti-coagulants                     | 1.885    | 11             | 96         | 1.6%          | 8               | 409          | 2.3%          |  |
| Asthma / COPD                       | 0.376    | 7              | 926        | 15.9%         | 7               | 2,288        | 13.1%         |  |
|                                     |          | 34             | 926        | 0.0%          | 7<br>35         | 2,200        | 0.0%          |  |
| Attention Deficit                   | 0.000    |                |            |               |                 |              |               |  |
| Burns                               | 0.170    | 33             | 32         | 0.5%          | 33              | 114<br>6.987 | 0.7%          |  |
| Cardiac                             | 0.299    | 1              | 2,308      | 39.6%         | 1               | -,           | 40.1%         |  |
| Cystic Fibrosis                     | 0.773    | 27             | 35         | 0.6%          | 24              | 177          | 1.0%          |  |
| Depression / Anxiety                | 0.091    | 14             | 1,080      | 18.5%         | 17              | 2,989        | 17.2%         |  |
| Diabetes                            | 0.477    | 4              | 885        | 15.2%         | 5               | 2,681        | 15.4%         |  |
| Ears, Eyes, Nose, and Throat (EENT) | 0.000    | 34             | 0          | 0.0%          | 35              | 0            | 0.0%          |  |
| ESRD / Renal                        | 5.296    | 2              | 126        | 2.2%          | 2               | 353          | 2.0%          |  |
| Folate Deficiency                   | 0.726    | 15             | 109        | 1.9%          | 13              | 432          | 2.5%          |  |
| CMV Retinitis                       | 0.992    | 32             | 8          | 0.1%          | 31              | 30           | 0.2%          |  |
| Gastric Acid Disorder               | 0.367    | 3              | 1,410      | 24.2%         | 4               | 4,208        | 24.2%         |  |
| Glaucoma                            | 0.239    | 23             | 152        | 2.6%          | 23              | 598          | 3.4%          |  |
| Gout                                | 0.000    | 34             | 0          | 0.0%          | 35              | 0            | 0.0%          |  |
| Growth Hormone                      | 2.921    | 30             | 8          | 0.1%          | 32              | 7            | 0.0%          |  |
| Hemophilia / von Willebrand's       | 26.452   | 28             | 1          | 0.0%          | 28              | 3            | 0.0%          |  |
| Hepatitis                           | 0.990    | 25             | 33         | 0.6%          | 27              | 107          | 0.6%          |  |
| Herpes                              | 0.146    | 31             | 95         | 1.6%          | 30              | 205          | 1.2%          |  |
| HIV                                 | 0.000    | 34             | 0          | 0.0%          | 35              | 0            | 0.0%          |  |
| Hyperlipidemia                      | 0.000    | 34             | 0          | 0.0%          | 35              | 0            | 0.0%          |  |
| Infections, High                    | 6.478    | 19             | 9          | 0.2%          | 16              | 44           | 0.3%          |  |
| Infections, Medium                  | 0.322    | 6              | 1,136      | 19.5%         | 6               | 3,064        | 17.6%         |  |
| Infections, Low                     | 0.000    | 34             | 0          | 0.0%          | 35              | 0            | 0.0%          |  |
| Inflammatory / Autoimmune           | 0.375    | 12             | 452        | 7.8%          | 12              | 1,017        | 5.8%          |  |
| Insomnia                            | 0.223    | 18             | 275        | 4.7%          | 18              | 1,169        | 6.7%          |  |
| Iron Deficiency                     | 0.281    | 16             | 280        | 4.8%          | 22              | 568          | 3.3%          |  |
| Irrigating Solution                 | 2.515    | 21             | 22         | 0.4%          | 14              | 120          | 0.7%          |  |
| Liver Disease                       | 1.670    | 29             | 14         | 0.4%          | 29              | 32           | 0.7%          |  |
| Malignancies                        | 1.079    | 13             | 117        | 2.0%          | 15              | 265          | 1.5%          |  |
| Multiple Sclerosis / Paralysis      |          | 8              | 323        | 5.5%          | 11              | 753          | 4.3%          |  |
|                                     | 0.763    |                | 323<br>454 |               |                 |              |               |  |
| Nausea                              | 0.137    | 17             |            | 7.8%          | 20              | 1,214        | 7.0%          |  |
| Neurogenic Bladder                  | 0.446    | 20             | 130        | 2.2%          | 19              | 458          | 2.6%          |  |
| Disease Categories                  |          |                |            |               |                 |              | 2.55          |  |
| Osteoporosis / Paget's              | 0.000    | 34             | 0          | 0.0%          | 35              | 0            | 0.0%          |  |
| Pain                                | 0.167    | 9              | 1,392      | 23.9%         | 10              | 3,833        | 22.0%         |  |
| Parkinsons / Tremor                 | 0.191    | 26             | 149        | 2.6%          | 21              | 857          | 4.9%          |  |
| Prenatal Care                       | 0.000    | 34             | 0          | 0.0%          | 35              | 0            | 0.0%          |  |
| Psychotic Illness / Bipolar         | 0.000    | 34             | 0          | 0.0%          | 35              | 0            | 0.0%          |  |
| Replacement Solution                | 0.311    | 10             | 699        | 12.0%         | 9               | 2,472        | 14.2%         |  |
| Seizure Disorders                   | 0.600    | 5              | 691        | 11.9%         | 3               | 2,751        | 15.8%         |  |
| Thyroid Disorder                    | 0.126    | 22             | 314        | 5.4%          | 25              | 979          | 5.6%          |  |
| Transplant                          | 0.000    | 34             | 0          | 0.0%          | 35              | 0            | 0.0%          |  |
| Tuberculosis                        | 0.565    | 24             | 59         | 1.0%          | 26              | 199          | 1.1%          |  |
|                                     |          | Plan           |            |               | FFS             |              | County        |  |
| Risk Adjustment Results             |          | Total          |            |               | Total           |              | Total         |  |
| Scored Members                      |          | 5,826          |            |               | 17,419          |              | 23,245        |  |
| Unadjusted Risk Score               |          | 1.200          |            |               | 1.170           |              | 1.178         |  |
| Normalized Risk Score               |          | 1.019          |            |               | 0.994           |              | 1.000         |  |
| וייטוומוובטע ויוטא טטטוכ            |          | 1.013          |            |               | V.JJ4           |              | 1.000         |  |

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**San Francisco County** 

| San Francisco County                |                |             |                     | ee-for-Servi         | :                      |                     |                      |
|-------------------------------------|----------------|-------------|---------------------|----------------------|------------------------|---------------------|----------------------|
|                                     | Disabled       | IV.         | lanaged Ca          |                      | Fe                     |                     |                      |
| Risk Categories                     | Weight         | Disease     | Scored<br>Recipient | Combined<br>Category | Disease<br>Impact Rank | Scored<br>Recipient | Combined<br>Category |
|                                     | Ĭ              | Impact Rank | Counts              | Distributions        | Impact Rank            | Counts              | Distributions        |
| Demographic Categories              | 4.005          | 1-          | •                   | 0.00/                | /-                     | •                   | 0.40/                |
| Under Age 1<br>Age 1 to 4           | 1.605          | n/a<br>n/a  | 6<br>79             | 0.2%<br>3.0%         | n/a<br>n/a             | 9<br>76             | 0.1%<br>0.5%         |
| Age 5 to 14 Male                    | 0.921<br>0.496 | n/a         | 233                 | 8.8%                 | n/a                    | 250                 | 1.5%                 |
| Age 5 to 14 Female                  | 0.490          | n/a         | 117                 | 4.4%                 | n/a                    | 137                 | 0.8%                 |
| Age 15 to 24 Male                   | 0.732          | n/a         | 195                 | 7.3%                 | n/a                    | 433                 | 2.6%                 |
| Age 15 to 24 Female                 | 0.261          | n/a         | 128                 | 4.8%                 | n/a                    | 279                 | 1.7%                 |
| Age 25 to 44 Male                   | 0.213          | n/a         | 148                 | 5.6%                 | n/a                    | 2,009               | 12.2%                |
| Age 25 to 44 Female                 | 0.066          | n/a         | 367                 | 13.8%                | n/a                    | 1,135               | 6.9%                 |
| Age 45 to 64 Male                   | 0.269          | n/a         | 402                 | 15.1%                | n/a                    | 5,552               | 33.8%                |
| Age 45 to 64 Female                 | 0.079          | n/a         | 711                 | 26.7%                | n/a                    | 3,955               | 24.1%                |
| Age 65+ Male and Female             | 0.164          | n/a         | 276                 | 10.4%                | n/a                    | 2,607               | 15.9%                |
| Age Subtotal                        |                | n/a         | 2,662               | 100.0%               | n/a                    | 16,442              | 100.0%               |
| Disease Categories                  |                |             |                     |                      |                        |                     |                      |
| Alcoholism                          | 0.189          | 32          | 2                   | 0.1%                 | 34                     | 25                  | 0.2%                 |
| Alzheimers                          | 0.000          | 33          | 0                   | 0.0%                 | 35                     | 0                   | 0.0%                 |
| Anti-coagulants                     | 1.885          | 10          | 51                  | 1.9%                 | 9                      | 476                 | 2.9%                 |
| Asthma / COPD                       | 0.376          | 5           | 467                 | 17.5%                | 6                      | 2,563               | 15.6%                |
| Attention Deficit                   | 0.000          | 33          | 9                   | 0.0%                 | 35                     | 110                 | 0.0%                 |
| Burns<br>Cardiac                    | 0.170<br>0.299 | 31<br>2     | 9<br>853            | 0.3%<br>32.0%        | 31<br>1                | 119<br>6,366        | 0.7%<br>38.7%        |
| Cystic Fibrosis                     | 0.299          | 28          | 10                  | 0.4%                 | 24                     | 143                 | 0.9%                 |
| Depression / Anxiety                | 0.773          | 14          | 633                 | 23.8%                | 15                     | 3,589               | 21.8%                |
| Diabetes                            | 0.477          | 7           | 338                 | 12.7%                | 5                      | 2,037               | 12.4%                |
| Ears, Eyes, Nose, and Throat (EENT) | 0.000          | 33          | 0                   | 0.0%                 | 35                     | 0                   | 0.0%                 |
| ESRD / Renal                        | 5.296          | 1           | 57                  | 2.1%                 | 2                      | 338                 | 2.1%                 |
| Folate Deficiency                   | 0.726          | 16          | 46                  | 1.7%                 | 13                     | 537                 | 3.3%                 |
| CMV Retinitis                       | 0.992          | 30          | 3                   | 0.1%                 | 32                     | 19                  | 0.1%                 |
| Gastric Acid Disorder               | 0.367          | 4           | 506                 | 19.0%                | 4                      | 3,628               | 22.1%                |
| Glaucoma                            | 0.239          | 21          | 68                  | 2.6%                 | 26                     | 395                 | 2.4%                 |
| Gout                                | 0.000          | 33          | 0                   | 0.0%                 | 35                     | 0                   | 0.0%                 |
| Growth Hormone                      | 2.921          | 33          | 0                   | 0.0%                 | 33                     | 5                   | 0.0%                 |
| Hemophilia / von Willebrand's       | 26.452         | 33          | 0                   | 0.0%                 | 29                     | 2                   | 0.0%                 |
| Hepatitis                           | 0.990          | 26          | 9                   | 0.3%                 | 25                     | 99                  | 0.6%                 |
| Herpes<br>HIV                       | 0.146          | 27<br>33    | 60<br>0             | 2.3%<br>0.0%         | 30<br>35               | 322<br>0            | 2.0%<br>0.0%         |
| Hyperlipidemia                      | 0.000<br>0.000 | 33          | 0                   | 0.0%                 | 35<br>35               | 0                   | 0.0%                 |
| Infections, High                    | 6.478          | 20          | 3                   | 0.0%                 | 11                     | 97                  | 0.6%                 |
| Infections, Medium                  | 0.322          | 9           | 373                 | 14.0%                | 8                      | 2.792               | 17.0%                |
| Infections, Low                     | 0.000          | 33          | 0                   | 0.0%                 | 35                     | 0                   | 0.0%                 |
| Inflammatory / Autoimmune           | 0.375          | 12          | 171                 | 6.4%                 | 14                     | 922                 | 5.6%                 |
| Insomnia                            | 0.223          | 18          | 110                 | 4.1%                 | 17                     | 1,197               | 7.3%                 |
| Iron Deficiency                     | 0.281          | 17          | 104                 | 3.9%                 | 18                     | 640                 | 3.9%                 |
| Irrigating Solution                 | 2.515          | 25          | 4                   | 0.2%                 | 23                     | 47                  | 0.3%                 |
| Liver Disease                       | 1.670          | 15          | 27                  | 1.0%                 | 20                     | 95                  | 0.6%                 |
| Malignancies                        | 1.079          | 13          | 56                  | 2.1%                 | 16                     | 254                 | 1.5%                 |
| Multiple Sclerosis / Paralysis      | 0.763          | 6           | 213                 | 8.0%                 | 10                     | 905                 | 5.5%                 |
| Nausea                              | 0.137          | 19          | 170                 | 6.4%                 | 19                     | 1,235               | 7.5%                 |
| Neurogenic Bladder                  | 0.446          | 22          | 33                  | 1.2%                 | 21                     | 353                 | 2.1%                 |
| Disease Categories                  | 0.000          | 22          | ^                   | 0.007                | 25                     | ^                   | 0.007                |
| Osteoporosis / Paget's<br>Pain      | 0.000          | 33          | 0<br>828            | 0.0%<br>31.1%        | 35<br>7                | 0<br>5,677          | 0.0%<br>34.5%        |
| Parkinsons / Tremor                 | 0.167<br>0.191 | 8<br>24     | 828<br>67           | 2.5%                 | 7<br>22                | 763                 | 34.5%<br>4.6%        |
| Prenatal Care                       | 0.000          | 33          | 0                   | 0.0%                 | 35                     | 0                   | 0.0%                 |
| Psychotic Illness / Bipolar         | 0.000          | 33          | 0                   | 0.0%                 | 35                     | 0                   | 0.0%                 |
| Replacement Solution                | 0.311          | 11          | 302                 | 11.3%                | 12                     | 1,664               | 10.1%                |
| Seizure Disorders                   | 0.600          | 3           | 341                 | 12.8%                | 3                      | 2,770               | 16.8%                |
| Thyroid Disorder                    | 0.126          | 23          | 109                 | 4.1%                 | 27                     | 735                 | 4.5%                 |
| Transplant                          | 0.000          | 33          | 0                   | 0.0%                 | 35                     | 0                   | 0.0%                 |
| Tuberculosis                        | 0.565          | 29          | 11                  | 0.4%                 | 28                     | 150                 | 0.9%                 |
| Risk Adjustment Results             |                | Plan        |                     |                      | FFS                    |                     | County               |
| •                                   |                | Total       |                     |                      | Total                  |                     | Total                |
| Scored Members                      |                | 2,662       |                     |                      | 16,442                 |                     | 19,104               |
| Unadjusted Risk Score               |                | 1.120       |                     |                      | 1.191                  |                     | 1.181                |
| Normalized Risk Score               |                | 0.948       |                     |                      | 1.008                  |                     | 1.000                |

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**San Joaquin County** 

| San Joaquin County                               | San Joaquin County  Managed Care Fee-for-S |                        |             |               |                        |                        |               |  |
|--|--|------------------------|-------------|---------------|------------------------|------------------------|---------------|--|
| Rick Cotogorico                                  | Disabled                                   |                        | Scored      | Combined      |                        | ee-for-Servi<br>Scored | Combined      |  |
| Risk Categories                                  | Weight                                     | Disease<br>Impact Rank | Recipient   | Category      | Disease<br>Impact Rank | Recipient              | Category      |  |
| Demographic Categories                           |  |                        | Counts      | Distributions |                        | Counts                 | Distributions |  |
| Under Age 1                                      | 1.605                                      | n/a                    | 28          | 0.5%          | n/a                    | 25                     | 0.2%          |  |
| Age 1 to 4                                       | 0.921                                      | n/a                    | 342         | 6.3%          | n/a                    | 214                    | 1.7%          |  |
| Age 5 to 14 Male                                 | 0.496                                      | n/a                    | 867         | 15.9%         | n/a                    | 620                    | 5.0%          |  |
| Age 5 to 14 Female                               | 0.706                                      | n/a                    | 363         | 6.7%          | n/a                    | 275                    | 2.2%          |  |
| Age 15 to 24 Male                                | 0.232                                      | n/a                    | 611         | 11.2%         | n/a                    | 907                    | 7.3%          |  |
| Age 15 to 24 Female                              | 0.261                                      | n/a                    | 383         | 7.0%          | n/a                    | 581                    | 4.7%          |  |
| Age 25 to 44 Male                                | 0.213                                      | n/a                    | 242         | 4.4%          | n/a                    | 1,395                  | 11.2%         |  |
| Age 25 to 44 Female Age 45 to 64 Male            | 0.066<br>0.269                             | n/a<br>n/a             | 670<br>501  | 12.3%<br>9.2% | n/a<br>n/a             | 1,200<br>2,384         | 9.7%<br>19.2% |  |
| Age 45 to 64 Female                              | 0.209                                      | n/a                    | 1,220       | 22.4%         | n/a                    | 3,401                  | 27.4%         |  |
| Age 65+ Male and Female                          | 0.164                                      | n/a                    | 228         | 4.2%          | n/a                    | 1,410                  | 11.4%         |  |
| Age Subtotal                                     | 0.101                                      | n/a                    | 5,455       | 100.0%        | n/a                    | 12,412                 | 100.0%        |  |
| Disease Categories                               |  |                        | ·           |               |                        | ·                      |               |  |
| Alcoholism                                       | 0.189                                      | 34                     | 3           | 0.1%          | 33                     | 5                      | 0.0%          |  |
| Alzheimers                                       | 0.000                                      | 35                     | 0           | 0.0%          | 34                     | 0                      | 0.0%          |  |
| Anti-coagulants                                  | 1.885                                      | 12                     | 57          | 1.0%          | 9                      | 285                    | 2.3%          |  |
| Asthma / COPD                                    | 0.376                                      | 1                      | 1,288       | 23.6%         | 6                      | 2,649                  | 21.3%         |  |
| Attention Deficit                                | 0.000                                      | 35                     | 0           | 0.0%          | 34                     | 0                      | 0.0%          |  |
| Burns  | 0.170                                      | 32                     | 37          | 0.7%          | 31                     | 96                     | 0.8%          |  |
| Cardiac<br>Cystic Fibrosis                       | 0.299<br>0.773                             | 2<br>29                | 1,489<br>14 | 27.3%<br>0.3% | 1<br>26                | 4,783<br>58            | 38.5%<br>0.5% |  |
| Depression / Anxiety                             | 0.773                                      | 13                     | 1,106       | 20.3%         | 26<br>15               | 2,554                  | 20.6%         |  |
| Diabetes   | 0.031                                      | 8                      | 629         | 11.5%         | 5                      | 2,334                  | 16.9%         |  |
| Ears, Eyes, Nose, and Throat (EENT)              | 0.000                                      | 35                     | 0           | 0.0%          | 34                     | 0                      | 0.0%          |  |
| ESRD / Renal                                     | 5.296                                      | 9                      | 48          | 0.9%          | 4                      | 207                    | 1.7%          |  |
| Folate Deficiency                                | 0.726                                      | 17                     | 92          | 1.7%          | 14                     | 346                    | 2.8%          |  |
| CMV Retinitis                                    | 0.992                                      | 33                     | 6           | 0.1%          | 32                     | 11                     | 0.1%          |  |
| Gastric Acid Disorder                            | 0.367                                      | 4                      | 1,070       | 19.6%         | 3                      | 3,265                  | 26.3%         |  |
| Glaucoma   | 0.239                                      | 30                     | 38          | 0.7%          | 27                     | 181                    | 1.5%          |  |
| Gout   | 0.000                                      | 35                     | 0           | 0.0%          | 34                     | 0                      | 0.0%          |  |
| Growth Hormone                                   | 2.921<br>26.452                            | 27<br>23               | 6<br>1      | 0.1%<br>0.0%  | 28<br>34               | 10<br>0                | 0.1%<br>0.0%  |  |
| Hemophilia / von Willebrand's<br>Hepatitis       | 0.990                                      | 23<br>31               | 9           | 0.0%          | 30                     | 26                     | 0.0%          |  |
| Herpes   | 0.330                                      | 28                     | 91          | 1.7%          | 29                     | 177                    | 1.4%          |  |
| HIV  | 0.000                                      | 35                     | 0           | 0.0%          | 34                     | 0                      | 0.0%          |  |
| Hyperlipidemia                                   | 0.000                                      | 35                     | 0           | 0.0%          | 34                     | 0                      | 0.0%          |  |
| Infections, High                                 | 6.478                                      | 18                     | 10          | 0.2%          | 13                     | 40                     | 0.3%          |  |
| Infections, Medium                               | 0.322                                      | 3                      | 1,276       | 23.4%         | 7                      | 2,895                  | 23.3%         |  |
| Infections, Low                                  | 0.000                                      | 35                     | 0           | 0.0%          | 34                     | 0                      | 0.0%          |  |
| Inflammatory / Autoimmune                        | 0.375                                      | 10                     | 550         | 10.1%         | 11                     | 1,070                  | 8.6%          |  |
| Insomnia   | 0.223                                      | 19<br>14               | 266         | 4.9%          | 19                     | 743                    | 6.0%          |  |
| Iron Deficiency Irrigating Solution              | 0.281<br>2.515                             | 20                     | 312<br>17   | 5.7%<br>0.3%  | 20<br>16               | 564<br>85              | 4.5%<br>0.7%  |  |
| Liver Disease                                    | 1.670                                      | 22                     | 20          | 0.4%          | 23                     | 55                     | 0.7%          |  |
| Malignancies                                     | 1.079                                      | 15                     | 67          | 1.2%          | 17                     | 161                    | 1.3%          |  |
| Multiple Sclerosis / Paralysis                   | 0.763                                      | 6                      | 465         | 8.5%          | 10                     | 607                    | 4.9%          |  |
| Nausea   | 0.137                                      | 16                     | 494         | 9.1%          | 18                     | 1,211                  | 9.8%          |  |
| Neurogenic Bladder                               | 0.446                                      | 21                     | 90          | 1.6%          | 21                     | 313                    | 2.5%          |  |
| Disease Categories                               |  |                        |             |               |                        |                        |               |  |
| Osteoporosis / Paget's                           | 0.000                                      | 35                     | 0           | 0.0%          | 34                     | 0                      | 0.0%          |  |
| Pain   | 0.167                                      | 7                      | 1,830       | 33.5%         | 8                      | 3,972                  | 32.0%         |  |
| Parkinsons / Tremor                              | 0.191                                      | 26                     | 115         | 2.1%          | 22                     | 672                    | 5.4%          |  |
| Prenatal Care                                    | 0.000                                      | 35<br>35               | 0           | 0.0%          | 34                     | 0                      | 0.0%          |  |
| Psychotic Illness / Bipolar Replacement Solution | 0.000<br>0.311                             | 35<br>11               | 0<br>447    | 0.0%<br>8.2%  | 34<br>12               | 0<br>1,037             | 0.0%<br>8.4%  |  |
| Seizure Disorders                                | 0.600                                      | 5                      | 650         | 11.9%         | 2                      | 2,033                  | 16.4%         |  |
| Thyroid Disorder                                 | 0.126                                      | 24                     | 202         | 3.7%          | 24                     | 720                    | 5.8%          |  |
| Transplant                                       | 0.000                                      | 35                     | 0           | 0.0%          | 34                     | 0                      | 0.0%          |  |
| Tuberculosis                                     | 0.565                                      | 25                     | 39          | 0.7%          | 25                     | 96                     | 0.8%          |  |
|  |  | Plan                   |             |               | FFS                    |                        | County        |  |
| Risk Adjustment Results                          |  | Total                  |             |               | Total                  |                        | Total         |  |
| Scored Members                                   |  | 5,455                  |             |               | 12,412                 |                        | 17,867        |  |
| Unadjusted Risk Score                            |  | 1.145                  |             |               | 1.225                  |                        | 1.201         |  |
| Normalized Risk Score                            |  | 0.954                  |             |               | 1.020                  |                        | 1.000         |  |

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**Stanislaus County** 

| Stanislaus County                                |                |             |                     |                      |               |                     |                      |  |
|--|----------------|-------------|---------------------|----------------------|---------------|---------------------|----------------------|--|
|  | Disabled       | N           | lanaged Ca          |                      | Fee-for-Servi |                     |                      |  |
| Risk Categories                                  | Weight         | Disease     | Scored<br>Recipient | Combined<br>Category | Disease       | Scored<br>Recipient | Combined<br>Category |  |
|  |                | Impact Rank | Counts              | Distributions        | Impact Rank   | Counts              | Distributions        |  |
| Demographic Categories                           |                |             |                     |                      |               |                     |                      |  |
| Under Age 1                                      | 1.605          | n/a         | 13                  | 0.4%                 | n/a           | 20                  | 0.2%                 |  |
| Age 1 to 4                                       | 0.921          | n/a         | 208                 | 6.6%                 | n/a           | 174                 | 2.0%                 |  |
| Age 5 to 14 Male                                 | 0.496          | n/a         | 409                 | 13.1%                | n/a           | 510                 | 5.7%                 |  |
| Age 5 to 14 Female                               | 0.706          | n/a         | 188                 | 6.0%                 | n/a           | 271<br>712          | 3.0%                 |  |
| Age 15 to 24 Male Age 15 to 24 Female            | 0.232<br>0.261 | n/a<br>n/a  | 257<br>177          | 8.2%<br>5.7%         | n/a<br>n/a    | 419                 | 8.0%<br>4.7%         |  |
| Age 25 to 44 Male                                | 0.201          | n/a         | 154                 | 4.9%                 | n/a           | 981                 | 11.0%                |  |
| Age 25 to 44 Female                              | 0.066          | n/a         | 438                 | 14.0%                | n/a           | 958                 | 10.7%                |  |
| Age 45 to 64 Male                                | 0.269          | n/a         | 323                 | 10.3%                | n/a           | 1,608               | 18.0%                |  |
| Age 45 to 64 Female                              | 0.079          | n/a         | 875                 | 27.9%                | n/a           | 2,470               | 27.7%                |  |
| Age 65+ Male and Female                          | 0.164          | n/a         | 90                  | 2.9%                 | n/a           | 797                 | 8.9%                 |  |
| Age Subtotal                                     |                | n/a         | 3,132               | 100.0%               | n/a           | 8,920               | 100.0%               |  |
| Disease Categories                               |                |             |                     |                      |               |                     |                      |  |
| Alcoholism                                       | 0.189          | 33          | 2                   | 0.1%                 | 34            | 3                   | 0.0%                 |  |
| Alzheimers                                       | 0.000          | 34          | 0                   | 0.0%                 | 35            | 0                   | 0.0%                 |  |
| Anti-coagulants                                  | 1.885          | 12          | 45                  | 1.4%                 | 10            | 218                 | 2.4%                 |  |
| Asthma / COPD                                    | 0.376          | 1<br>34     | 984<br>0            | 31.4%<br>0.0%        | 4<br>25       | 2,117<br>0          | 23.7%<br>0.0%        |  |
| Attention Deficit Burns                          | 0.000<br>0.170 | 31          | 26                  | 0.0%                 | 35<br>33      | 56                  | 0.6%                 |  |
| Cardiac  | 0.170          | 4           | 1,107               | 35.3%                | 1             | 3,528               | 39.6%                |  |
| Cystic Fibrosis                                  | 0.773          | 29          | 7                   | 0.2%                 | 25            | 46                  | 0.5%                 |  |
| Depression / Anxiety                             | 0.091          | 13          | 864                 | 27.6%                | 14            | 2,119               | 23.8%                |  |
| Diabetes   | 0.477          | 8           | 485                 | 15.5%                | 6             | 1,585               | 17.8%                |  |
| Ears, Eyes, Nose, and Throat (EENT)              | 0.000          | 34          | 0                   | 0.0%                 | 35            | 0                   | 0.0%                 |  |
| ESRD / Renal                                     | 5.296          | 10          | 28                  | 0.9%                 | 8             | 100                 | 1.1%                 |  |
| Folate Deficiency                                | 0.726          | 21          | 52                  | 1.7%                 | 18            | 193                 | 2.2%                 |  |
| CMV Retinitis                                    | 0.992          | 32          | 3                   | 0.1%                 | 32            | 11                  | 0.1%                 |  |
| Gastric Acid Disorder                            | 0.367          | 6           | 802                 | 25.6%                | 3             | 2,457               | 27.5%                |  |
| Glaucoma   | 0.239          | 27          | 31                  | 1.0%                 | 28            | 116                 | 1.3%                 |  |
| Gout<br>Growth Hormone                           | 0.000<br>2.921 | 34<br>28    | 0<br>2              | 0.0%<br>0.1%         | 35<br>27      | 0<br>10             | 0.0%<br>0.1%         |  |
| Hemophilia / von Willebrand's                    | 26.452         | 34          | 0                   | 0.1%                 | 21            | 4                   | 0.1%                 |  |
| Hepatitis  | 0.990          | 30          | 5                   | 0.0%                 | 30            | 17                  | 0.2%                 |  |
| Herpes   | 0.146          | 25          | 68                  | 2.2%                 | 29            | 157                 | 1.8%                 |  |
| HIV <sup>'</sup>                                 | 0.000          | 34          | 0                   | 0.0%                 | 35            | 0                   | 0.0%                 |  |
| Hyperlipidemia                                   | 0.000          | 34          | 0                   | 0.0%                 | 35            | 0                   | 0.0%                 |  |
| Infections, High                                 | 6.478          | 18          | 7                   | 0.2%                 | 15            | 29                  | 0.3%                 |  |
| Infections, Medium                               | 0.322          | 5           | 953                 | 30.4%                | 5             | 2,365               | 26.5%                |  |
| Infections, Low                                  | 0.000          | 34          | 0                   | 0.0%                 | 35            | 0                   | 0.0%                 |  |
| Inflammatory / Autoimmune                        | 0.375          | 9           | 398                 | 12.7%                | 11            | 830                 | 9.3%                 |  |
| Insomnia<br>Iron Deficiency                      | 0.223<br>0.281 | 14<br>16    | 320<br>192          | 10.2%<br>6.1%        | 13<br>19      | 931<br>469          | 10.4%<br>5.3%        |  |
| Irrigating Solution                              | 2.515          | 24          | 5                   | 0.1%                 | 26            | 14                  | 0.2%                 |  |
| Liver Disease                                    | 1.670          | 20          | 25                  | 0.8%                 | 24            | 52                  | 0.6%                 |  |
| Malignancies                                     | 1.079          | 15          | 51                  | 1.6%                 | 16            | 151                 | 1.7%                 |  |
| Multiple Sclerosis / Paralysis                   | 0.763          | 3           | 438                 | 14.0%                | 9             | 577                 | 6.5%                 |  |
| Nausea   | 0.137          | 17          | 363                 | 11.6%                | 20            | 897                 | 10.1%                |  |
| Neurogenic Bladder                               | 0.446          | 19          | 99                  | 3.2%                 | 17            | 322                 | 3.6%                 |  |
| Disease Categories                               |                |             |                     |                      |               |                     |                      |  |
| Osteoporosis / Paget's                           | 0.000          | 34          | 0                   | 0.0%                 | 35            | 0                   | 0.0%                 |  |
| Pain   | 0.167          | 7           | 1,538               | 49.1%                | 7             | 3,774               | 42.3%                |  |
| Parkinsons / Tremor                              | 0.191          | 23          | 104                 | 3.3%                 | 23            | 491                 | 5.5%                 |  |
| Prenatal Care                                    | 0.000          | 34<br>34    | 0<br>0              | 0.0%<br>0.0%         | 35<br>35      | 0<br>0              | 0.0%<br>0.0%         |  |
| Psychotic Illness / Bipolar Replacement Solution | 0.000<br>0.311 | 11          | 329                 | 10.5%                | 12            | 858                 | 9.6%                 |  |
| Seizure Disorders                                | 0.600          | 2           | 561                 | 17.9%                | 2             | 1,691               | 19.0%                |  |
| Thyroid Disorder                                 | 0.126          | 22          | 235                 | 7.5%                 | 22            | 803                 | 9.0%                 |  |
| Transplant                                       | 0.000          | 34          | 0                   | 0.0%                 | 35            | 0                   | 0.0%                 |  |
| Tuberculosis                                     | 0.565          | 26          | 17                  | 0.5%                 | 31            | 26                  | 0.3%                 |  |
| Risk Adjustment Results                          |                | Plan        |                     |                      | FFS           |                     | County               |  |
| •  |                | Total       |                     |                      | Total         |                     | Total                |  |
| Scored Members                                   |                | 3,132       |                     |                      | 8,920         |                     | 12,052               |  |
| Unadjusted Risk Score                            |                | 1.412       |                     |                      | 1.311         |                     | 1.337                |  |
| Normalized Risk Score                            |                | 1.056       |                     |                      | 0.980         |                     | 1.000                |  |

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**Tulare County** 

| Tulare County                         | ro             | Fee-for-Service        |                      |               |                        |                |                 |
|---------------------------------------|----------------|------------------------|----------------------|---------------|------------------------|----------------|-----------------|
| Pick Cotogorios                       | Disabled       |                        | lanaged Ca<br>Scored | Combined      |                        | Scored         | Combined        |
| Risk Categories                       | Weight         | Disease<br>Impact Rank | Recipient            | Category      | Disease<br>Impact Rank | Recipient      | Category        |
| Demographic Categories                |                |                        | Counts               | Distributions |                        | Counts         | Distributions   |
| Under Age 1                           | 1.605          | n/a                    | 23                   | 0.7%          | n/a                    | 9              | 0.1%            |
| Age 1 to 4                            | 0.921          | n/a                    | 301                  | 9.0%          | n/a                    | 115            | 1.7%            |
| Age 5 to 14 Male                      | 0.496          | n/a                    | 554                  | 16.5%         | n/a                    | 387            | 5.7%            |
| Age 5 to 14 Female                    | 0.706          | n/a                    | 290                  | 8.7%          | n/a                    | 239            | 3.5%            |
| Age 15 to 24 Male                     | 0.232          | n/a                    | 343                  | 10.2%         | n/a                    | 613            | 9.0%            |
| Age 15 to 24 Female                   | 0.261          | n/a                    | 216                  | 6.4%          | n/a                    | 366            | 5.4%            |
| Age 25 to 44 Male                     | 0.213          | n/a                    | 158                  | 4.7%          | n/a                    | 865            | 12.7%           |
| Age 25 to 44 Female Age 45 to 64 Male | 0.066<br>0.269 | n/a<br>n/a             | 382<br>318           | 11.4%<br>9.5% | n/a<br>n/a             | 742<br>1,221   | 10.9%<br>17.9%  |
| Age 45 to 64 Female                   | 0.209          | n/a                    | 675                  | 20.1%         | n/a                    | 1,779          | 26.1%           |
| Age 65+ Male and Female               | 0.164          | n/a                    | 91                   | 2.7%          | n/a                    | 484            | 7.1%            |
| Age Subtotal                          | 0.101          | n/a                    | 3,351                | 100.0%        | n/a                    | 6,820          | 100.0%          |
| Disease Categories                    |                |                        | ,                    |               |                        |                |                 |
| Alcoholism                            | 0.189          | 34                     | 0                    | 0.0%          | 34                     | 1              | 0.0%            |
| Alzheimers                            | 0.000          | 34                     | 0                    | 0.0%          | 35                     | 0              | 0.0%            |
| Anti-coagulants                       | 1.885          | 12                     | 49                   | 1.5%          | 10                     | 155            | 2.3%            |
| Asthma / COPD                         | 0.376          | 2                      | 1,008                | 30.1%         | 4                      | 1,639          | 24.0%           |
| Attention Deficit                     | 0.000          | 34                     | 0                    | 0.0%          | 35                     | 0              | 0.0%            |
| Burns                                 | 0.170          | 31                     | 33                   | 1.0%          | 32                     | 67             | 1.0%            |
| Cardiac                               | 0.299          | 6                      | 1,021                | 30.5%         | 2                      | 2,518          | 36.9%           |
| Cystic Fibrosis                       | 0.773          | 27<br>16               | 13<br>740            | 0.4%<br>22.1% | 28<br>16               | 33             | 0.5%            |
| Depression / Anxiety Diabetes         | 0.091<br>0.477 | 8                      | 740<br>462           | 13.8%         | 6                      | 1,418<br>1,124 | 20.8%<br>16.5%  |
| Ears, Eyes, Nose, and Throat (EENT)   | 0.000          | 34                     | 0                    | 0.0%          | 35                     | 0              | 0.0%            |
| ESRD / Renal                          | 5.296          | 9                      | 28                   | 0.8%          | 7                      | 98             | 1.4%            |
| Folate Deficiency                     | 0.726          | 14                     | 100                  | 3.0%          | 14                     | 216            | 3.2%            |
| CMV Retinitis                         | 0.992          | 33                     | 3                    | 0.1%          | 33                     | 8              | 0.1%            |
| Gastric Acid Disorder                 | 0.367          | 5                      | 845                  | 25.2%         | 3                      | 1,841          | 27.0%           |
| Glaucoma                              | 0.239          | 32                     | 19                   | 0.6%          | 29                     | 101            | 1.5%            |
| Gout                                  | 0.000          | 34                     | 0                    | 0.0%          | 35                     | 0              | 0.0%            |
| Growth Hormone                        | 2.921          | 25                     | 5                    | 0.1%          | 26                     | 15             | 0.2%            |
| Hemophilia / von Willebrand's         | 26.452         | 22                     | 1                    | 0.0%          | 25                     | 2              | 0.0%            |
| Hepatitis                             | 0.990          | 28                     | 10                   | 0.3%          | 27                     | 30             | 0.4%            |
| Herpes<br>HIV                         | 0.146          | 30<br>34               | 57<br>0              | 1.7%<br>0.0%  | 31<br>35               | 90<br>0        | 1.3%<br>0.0%    |
| Hyperlipidemia                        | 0.000<br>0.000 | 34                     | 0                    | 0.0%          | 35<br>35               | 0              | 0.0%            |
| Infections, High                      | 6.478          | 13                     | 14                   | 0.0%          | 11                     | 42             | 0.6%            |
| Infections, Medium                    | 0.322          | 3                      | 1,052                | 31.4%         | 5                      | 1,857          | 27.2%           |
| Infections, Low                       | 0.000          | 34                     | 0                    | 0.0%          | 35                     | 0              | 0.0%            |
| Inflammatory / Autoimmune             | 0.375          | 10                     | 391                  | 11.7%         | 12                     | 634            | 9.3%            |
| Insomnia                              | 0.223          | 20                     | 209                  | 6.2%          | 15                     | 624            | 9.1%            |
| Iron Deficiency                       | 0.281          | 18                     | 219                  | 6.5%          | 18                     | 345            | 5.1%            |
| Irrigating Solution                   | 2.515          | 24                     | 9                    | 0.3%          | 19                     | 38             | 0.6%            |
| Liver Disease                         | 1.670          | 15                     | 41                   | 1.2%          | 22                     | 54             | 0.8%            |
| Malignancies                          | 1.079          | 17                     | 62                   | 1.9%          | 17                     | 98             | 1.4%            |
| Multiple Sclerosis / Paralysis        | 0.763          | 1                      | 557                  | 16.6%         | 9                      | 452            | 6.6%            |
| Nausea<br>Neurogenic Bladder          | 0.137<br>0.446 | 19<br>21               | 373<br>71            | 11.1%<br>2.1% | 20<br>21               | 689<br>206     | 10.1%<br>3.0%   |
| Disease Categories                    | 0.440          | ۷1                     | / 1                  | Z.170         | ۷1                     | 200            | J.U 70          |
| Osteoporosis / Paget's                | 0.000          | 34                     | 0                    | 0.0%          | 35                     | 0              | 0.0%            |
| Pain                                  | 0.000          | 7                      | 1,387                | 41.4%         | 8                      | 2,650          | 38.9%           |
| Parkinsons / Tremor                   | 0.191          | 26                     | 74                   | 2.2%          | 23                     | 365            | 5.4%            |
| Prenatal Care                         | 0.000          | 34                     | 0                    | 0.0%          | 35                     | 0              | 0.0%            |
| Psychotic Illness / Bipolar           | 0.000          | 34                     | 0                    | 0.0%          | 35                     | 0              | 0.0%            |
| Replacement Solution                  | 0.311          | 11                     | 389                  | 11.6%         | 13                     | 621            | 9.1%            |
| Seizure Disorders                     | 0.600          | 4                      | 563                  | 16.8%         | 1                      | 1,457          | 21.4%           |
| Thyroid Disorder                      | 0.126          | 23                     | 181                  | 5.4%          | 24                     | 453            | 6.6%            |
| Transplant                            | 0.000          | 34                     | 0                    | 0.0%          | 35                     | 0              | 0.0%            |
| Tuberculosis                          | 0.565          | 29<br><b>Plan</b>      | 16                   | 0.5%          | 30<br><b>FFS</b>       | 31             | 0.5%            |
| Risk Adjustment Results               |                | Total                  |                      |               | Total                  |                | County<br>Total |
| Scored Members                        |                | 3,351                  |                      |               | 6,820                  |                | 10,171          |
| Unadjusted Risk Score                 |                | 1.464                  |                      |               | 1.350                  |                | 1.388           |
| Normalized Risk Score                 |                | 1.055                  |                      |               | 0.973                  |                | 1.000           |
|                                       |                |                        |                      |               |                        |                |                 |